Spring 2016 6.441 - Information Theory Homework 10 Due: Thur, May 5, 2016 (in class) Prof. Y. Polyanskiy

## 1 Reading (optional)

1. Read [1, Chapter 10]

## 2 Exercises

**NOTE:** Each exercise is 10 points. Only 3 exercises per assignment will be graded. If you submit more than 3 solved exercises please indicate which ones you want to be graded.

1 A packet of k bits is to be delivered over an AWGN channel. To that end, a k-to-n error correcting code is used, whose probability of error is  $\epsilon$ . The system employs automatic repeat request (ARQ) to resend the packet whenever an error occured.<sup>1</sup> Suppose that the optimal k-to-n codes achieving

$$k \approx nC - \sqrt{nV}Q^{-1}(\epsilon) + \frac{1}{2}\log n$$

are available. The goal is to optimize  $\epsilon$  to get the highest average throughput:  $\epsilon$  too small requires excessive redundancy,  $\epsilon$  too large leads to lots of retransmissions. Compute the optimal  $\epsilon$  and optimal block length n for the following four cases: SNR=0 dB or 20 dB;  $k = 10^3$  or  $10^4$  bits.

(This gives an idea of what  $\epsilon$  you should aim for in practice.)

**2** Consider a binary symmetric channel with crossover probability  $\delta \in (0, 1)$ :

$$Y = X + Z \mod 2, Z \sim \operatorname{Bern}(\delta).$$

Suppose that in addition to Y the receiver also gets to observe noise Z through a binary erasure channel with erasure probability  $\delta_e \in (0, 1)$ . Compute:

- 1. Capacity C of the channel.
- 2. Zero-error capacity  $C_0$  of the channel.
- 3. Zero-error capacity in the presence of feedback  $C_{fb,0}$ .
- **3** Consider the *polygon channel* we discussed in the lecture, where the input and output alphabet are both  $\{1, \ldots, L\}$ , and  $P_{Y|X}(a|b) > 0$  if and only if a = b or  $a = (b \mod L) + 1$ . Rigorously prove the following:
  - 1. For all L, The zero-error capacity with feedback is  $C_{fb,0} = \log \frac{L}{2}$ .
  - 2. For even L, the zero-error capacity  $C_0 = \log \frac{L}{2}$ .

<sup>&</sup>lt;sup>1</sup>Assuming there is a way for receiver to verify whether his decoder produced the correct packet contents or not (e.g. by finding HTML tags).

4 (BEC with feedback) Consider the stationary memoryless binary erasure channel with erasure probability  $\delta$  and noiseless feedback. Design a *fixed*-blocklength<sup>2</sup> coding scheme achieving the capacity, i.e., find a scheme that sends k bits over n channel uses with noiseless feedback, such that the rate  $\frac{k}{n}$  approaches the capacity  $1 - \delta$  when  $n \to \infty$  and the maximal probability of error vanishes. Describe the encoding and decoding operations and *rigorously* prove your result.

(Hint: Try retransmitting each bit until received.)

- 5 Let  $S = \hat{S} = \{0, 1\}$  and let the source  $X^{10}$  be fair coin flips. Denote the output of the decompressor by  $\hat{X}^{10}$ . Show that it is possible to achieve average Hamming distortion  $\frac{1}{20}$  with 512 codewords.
- **6** Assume the distortion function is separable. Show that the minimal number of codewords  $M^*(n, D)$  required to represent memoryless source  $X^n$  with average distortion D satisfies

$$\log M^*(n_1 + n_2, D) \le \log M^*(n_1, D) + \log M^*(n_2, D).$$

Conclude that

$$\lim_{n \to \infty} \frac{1}{n} \log M^*(n, D) = \inf_n \frac{1}{n} \log M^*(n, D) \,. \tag{1}$$

(i.e. one can always achieve a better compression rate by using a longer blocklength). Neither claim holds for  $\log M^*(n, \epsilon)$  in channel coding (with inf replaced by sup in (1) of course). Convince yourself you understand the reason of this different behavior.

## References

[1] T. Cover and J. Thomas, *Elements of Information Theory*, Second Edition, Wiley, 2006

 $<sup>^{2}</sup>$ Variable blocklength codes are not allowed.

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