

# Summary of Signal Types

## Typical Sets of Units

### Pulses:

$v(t)$	$\leftrightarrow$	$V(f)$	$[V]$	$\leftrightarrow$	$[V\text{Hz}^{-1}]$	$[V]$	$\leftrightarrow$	$[V\text{Hz}^{-1}]$
↓		↓	↓		↓	↓		↓
$R(\tau)$	$\leftrightarrow$	$S(f) =  \underline{V}(f) ^2$	$[V^2\text{s}]$	$\leftrightarrow$	$[V\text{Hz}^{-1}]^2$	$[J]$	$\leftrightarrow$	$[J\text{Hz}^{-1}]$
						1-ohm load		

### Periodic:

$v(t)$	$\leftrightarrow$	$\underline{V}_m[V]$	$[V]$	$\leftrightarrow$	$[V]$	$[V]$	$\leftrightarrow$	$[V]$
↓		↓	↓		↓	↓		↓
$R(\tau)$	$\leftrightarrow$	$\Phi_m =  \underline{V}_m ^2$	$[V^2]$	$\leftrightarrow$	$[V^2]$	$[W]$	$\leftrightarrow$	$[W]$
						1-ohm load		

### Random:

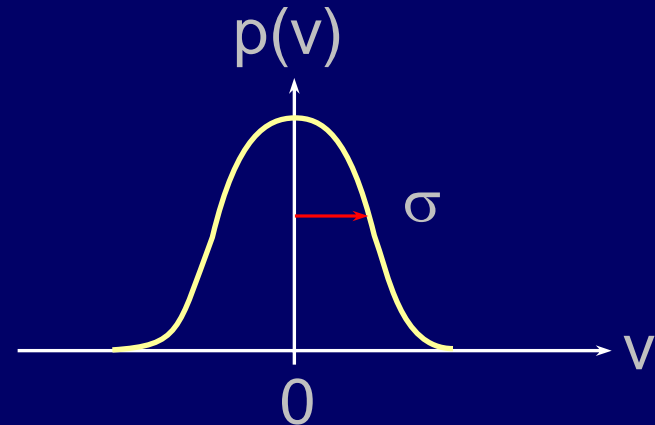
$v(t)$	$\leftrightarrow$	$[?]$	$[V]$	$\leftrightarrow$	$[?]$	$[V]$	$\leftrightarrow$	$[?]$
↓		↓	↓		↓	↓		↓
$\Phi(\tau)$	$\leftrightarrow$	$\Phi(f)$	$[V^2]$	$\leftrightarrow$	$[V^2\text{Hz}^{-1}]$	$[W]$	$\leftrightarrow$	$[W/\text{Hz}]$

# Probability Distributions

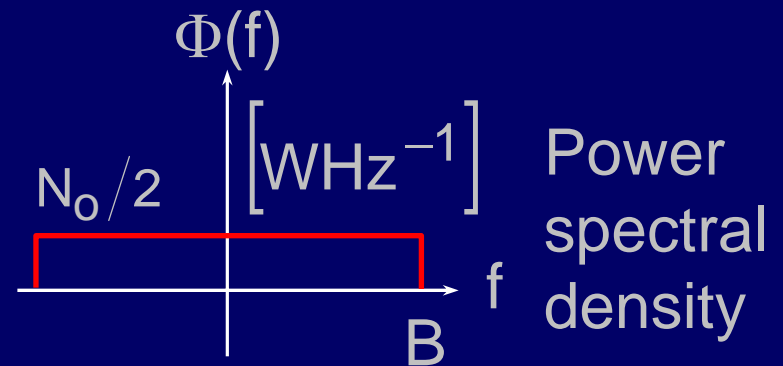
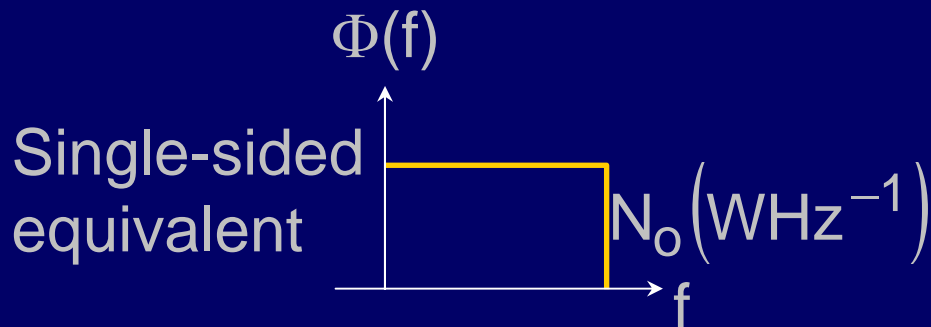
Gaussian Noise:

$$P\{v\} = \frac{1}{\sigma\sqrt{2\pi}} e^{-(v/\sigma)^2/2}$$

$$E[v^2] = \int_{-\infty}^{\infty} p(v) v^2 dv = \sigma^2$$



Band-limited Gaussian white noise, e.g.  $N_o/2$



$$E[v^2] = \sigma^2 = N_o B$$

$$\therefore \sigma = \sqrt{N_o B}$$

# Probability Distributions

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## Binomial Distribution:

Assume we have  $n$  bits, 0 or 1, where  $p\{1\} \equiv p$ ,  $p\{0\} \equiv 1 - p$

$$p\{k \text{ 1's}\} = \binom{n}{k} p^k (1-p)^{n-k} \text{ where } \binom{n}{k} \triangleq \frac{n!}{(n-k)! k!}$$

Note: There are  $n$  positions possible for the first “1,”  $n - 1$  for the second “1,” and a total of  $n(n - 1) \dots (n - k + 1)/k!$  ways to arrange those  $k$  “1’s” among the  $n$  available positions.

$$E[k] = np = \sum_{k=0}^n k p(k)$$

# Probability Distributions

$$E[k] = np = \sum_{k=0}^n k p(k)$$

Poisson Distribution:

Assume we have  $n$  bits, 0 or 1, where  $p\{1\} \equiv p$ ,  $p\{0\} \equiv 1 - p$

If  $n \gg 1$ ,  $p \ll 1$ :  $np \stackrel{\Delta}{=} \lambda \cong 1$ ; variance =  $np \underbrace{(1-p)}_{\sim 0} \cong \lambda$

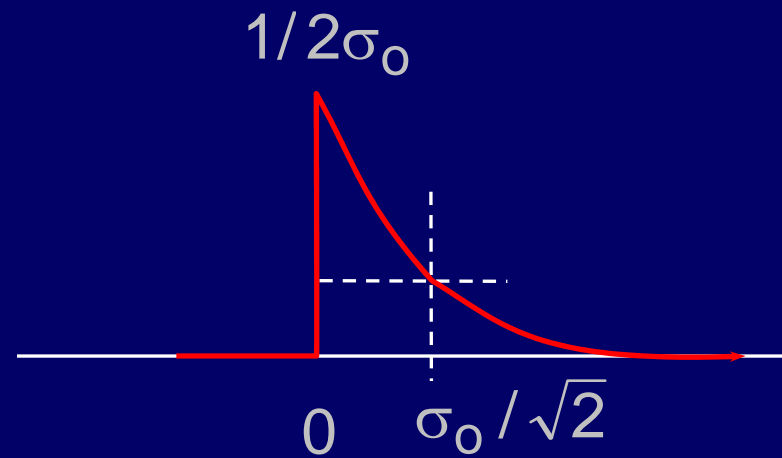
$$\text{then } p\{k\} \cong \frac{\lambda^k}{k!} e^{-\lambda}$$

Mean of  $k = \lambda = np$

Variance of  $k = \lambda$

Laplacian Distribution:

$$p\{r\} = \frac{1}{2\sigma_0} e^{-\sqrt{2}|r|/\sigma_0}$$



( Arises, for example, if  $r^2 = x^2 + y^2$ ,  $\overline{x^2} = \overline{y^2}$ ;  $\overline{xy} = 0$  )  
where  $x, y$  are Gaussian, variance  $\sigma_0^2$ , zero mean )

# Receiver-Noise Processes

Receivers are limited by noise, many types

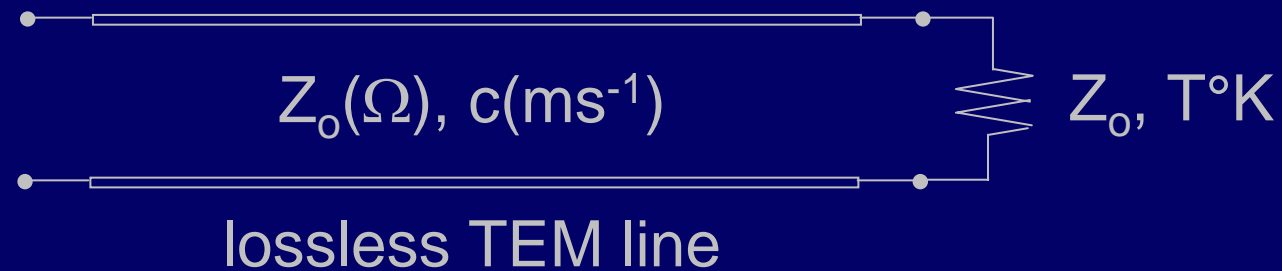
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## Thermal noise:

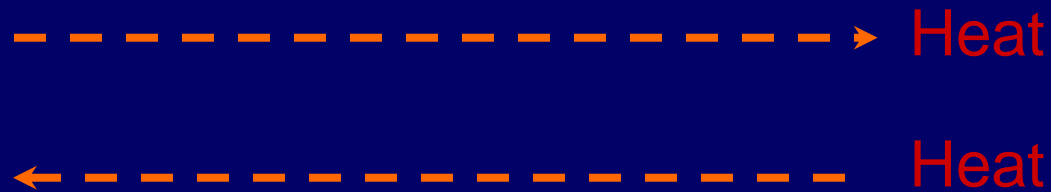
Cases: 1-D (TEM transmission line)  
3-D (Multimode waveguide)  
Equation of radiative transfer (1-D)  
RF and optical limits; IR case

# Thermal noise, 1-D (TEM) case

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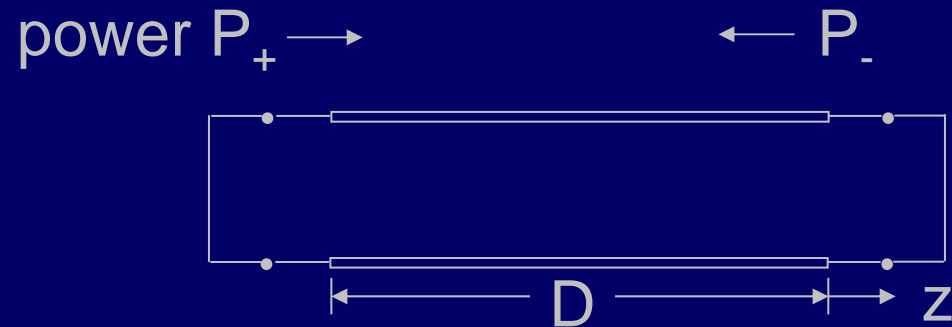


Electromagnetic  
energy



# Approach:

closed container  
very slightly lossy }



- 1) Find average energy density  $W(f)$  [J/m Hz]
- 2) Find average power  $P_+$  [W/Hz] power flow

Find average energy density  $\overline{W}(f)[\text{Jm}^{-1} \text{Hz}^{-1}]$

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$$\overline{W}(f) = \left( \frac{\text{modes}}{\text{Hz}} \right) \left( \frac{\text{photons}}{\text{mode}} \right) \underbrace{\left( \frac{\text{energy}}{\text{photon}} \right)}_{hf} \cdot \frac{1}{D}$$

$hf$  [Joules] ( $h \equiv$  Planck's constant)

$f$  is frequency (Hz)

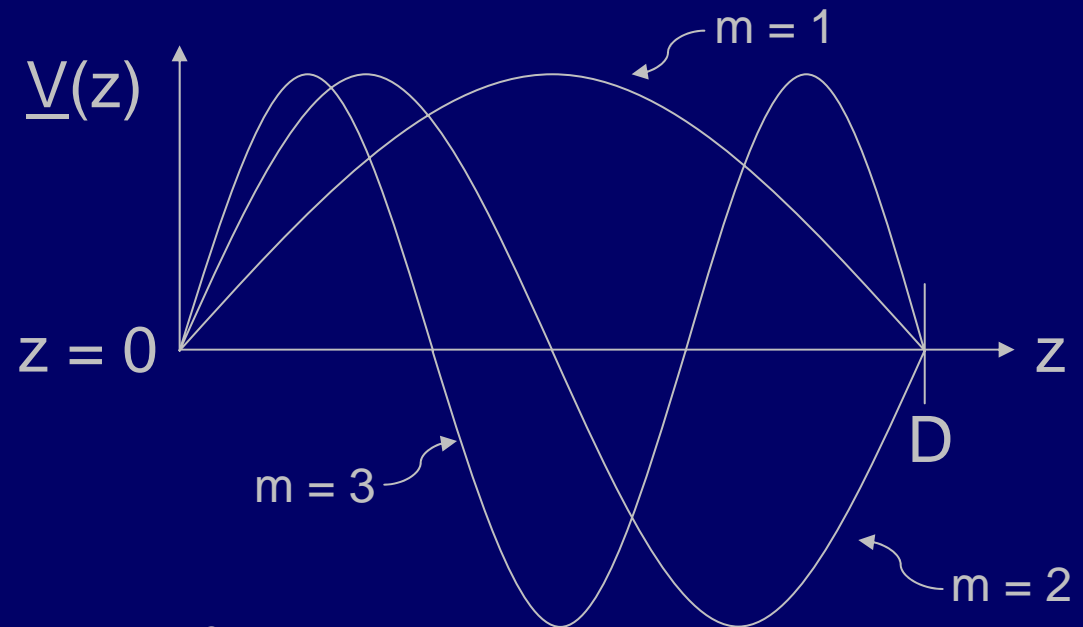
$h = 6.6252 \times 10^{-34}$  (J s)



$$\bar{W}(f) = \left( \frac{\text{modes}}{\text{Hz}} \right) \left( \frac{\text{photons}}{\text{mode}} \right) \left( \frac{\text{energy}}{\text{photon}} \right) \cdot \frac{1}{D}$$

## Find modes/Hz:

Resonator modes



Therefore  $m = \frac{2D}{\lambda_m} = \frac{2Df_m}{v_p}$  ( $v_p = \text{phase velocity}$ )

$$\frac{dm}{df} = \frac{2D}{v_p} \text{ modes/Hz}$$

Find photons/mode  $\triangleq \bar{n}_j$ ; ( $j^{\text{th}}$  mode)

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Photons obey Bose-Einstein statistics; therefore  
any number can occupy each mode.

Total energy fixed; combinations favor more likely distributions

$$\bar{n}_j = \sum_{n=0}^{\infty} n p_j(n) \quad p_j(n) \triangleq \left[ p\{n \text{ photons in state } j\} \right]$$

$$p_j(n) = Q e^{-nW_j/kT}, \text{ "Boltzmann distribution"}$$

$$\text{where } \sum_{n=0}^{\infty} p_j(n) \equiv 1, W_j \triangleq hf_j, Q = \text{constant}$$

$$p_j(n) = Q e^{-nW_j/kT}, \text{ "Boltzmann distribution"}$$

$$\text{where } \sum_{n=0}^{\infty} p_j(n) \equiv 1, W_j \triangleq hf_j, Q = \text{constant}$$

$$\sum_{n=0}^{\infty} p_j(n) = Q \cdot \sum_{n=0}^{\infty} \left( e^{-W_j/kT} \right)^n = \frac{Q}{1 - e^{-W_j/kT}}$$

$$\left[ \text{Recall } \sum_{n=0}^{\infty} x^n = 1/(1 - x) \text{ if } x < 1 \right]$$

$$\text{Therefore } Q = 1 - e^{-W_j/kT}$$

$$p_j(n) = Q e^{-nW_j/kT} , \text{ "Boltzmann distribution"}$$

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$$\text{Where } Q = 1 - e^{-W_j/kT}$$

Therefore

$$p_j(n) = \left( 1 - e^{-W_j/kT} \right) e^{-nW_j/kT}$$

$$\bar{n}_j = \sum_{n=0}^{\infty} n p_j(n) = \left( 1 - e^{-W_j/kT} \right) \sum_{n=0}^{\infty} n \left( e^{-W_j/kT} \right)^n$$

$$\bar{n}_j = \sum_{n=0}^{\infty} n p_j(n) = \left(1 - e^{-W_j/kT}\right) \sum_{n=0}^{\infty} n \left(e^{-W_j/kT}\right)^n$$

Recall  $\sum_{n=0}^{\infty} n x^n = x \frac{d}{dx} \sum_{n=0}^{\infty} x^n = x \frac{d}{dx} (1 - x)^{-1} = \frac{x}{(1 - x)^2}$

$$\text{So } \bar{n}_j = \left(1 - e^{-W_j/kT}\right) \left[ \frac{e^{-W_j/kT}}{\left(1 - e^{-W_j/kT}\right)^2} \right]$$

$$\bar{n}_j = 1 / \left( e^{W_j/kT} - 1 \right) \text{ photons/mode } [W_j = hf_j]$$

# Solution - Average Energy Density $\left[ \text{Jm}^{-1}\text{Hz}^{-1} \right]$

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$$\bar{W}(f) = \left( \frac{\text{modes}}{\text{Hz}} \right) \left( \frac{\text{photons}}{\text{mode}} \right) \left( \frac{\text{energy}}{\text{photon}} \right) \cdot \frac{1}{D}$$

$$W(f) = \left( \frac{2D}{v_p} \right) \left( \frac{1}{e^{W_j/kT} - 1} \right) (hf) \cdot \frac{1}{D} = \frac{2hf}{v_p(e^{hf/kT} - 1)} \left[ \text{Jm}^{-1}\text{Hz}^{-1} \right]$$

$$W(f) = W_+ + W_- = 2W_+ \quad (\text{powers and energies superimpose if waves are "orthogonal"})$$

$W_+$  = forward-moving energy density

## Solution - Thermal power in TEM line:

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$$W(f) = \left[ \frac{2D}{v_p} \right] \left[ \frac{1}{e^{W_j/kT} - 1} \right] (hf) \cdot \frac{1}{D} = \frac{2hf}{v_p [e^{hf/kT} - 1]} \quad [\text{Jm}^{-1}\text{Hz}^{-1}]$$

$$W(f) = W_+ + W_- = 2W_+$$

$$P_+ [\text{WH}_z^{-1}] = v_g W_+ = v_g W/2$$

If the TEM line is non-dispersive, then  $v_p = v_g$  and

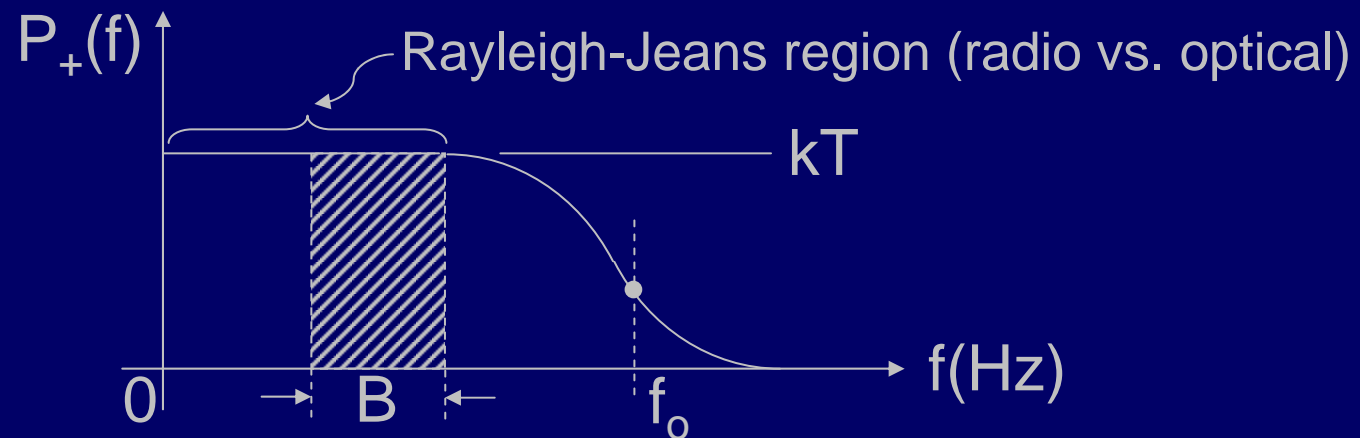
$$P_+(f) [\text{WH}_z^{-1}] = \frac{hf}{e^{hf/kT} - 1}$$

Recall  $e^x = 1 + x + x^2/2! + \dots \approx 1 + x$  for  $x \ll 1$

$$P_+(f) \left[ \text{WH}_z^{-1} \right] = \frac{hf}{e^{hf/kT} - 1} \cong kT \text{ for } hf \ll kT$$

“Rayleigh-Jeans limit”

$P \cong kTB$  watts in uniform bandwidth  $B(\text{Hz})$



$$hf_0 \cong kT, \text{ so } f_0 = kT/h \cong 20 \cdot T(^{\circ}\text{K}) \text{ GHz}$$

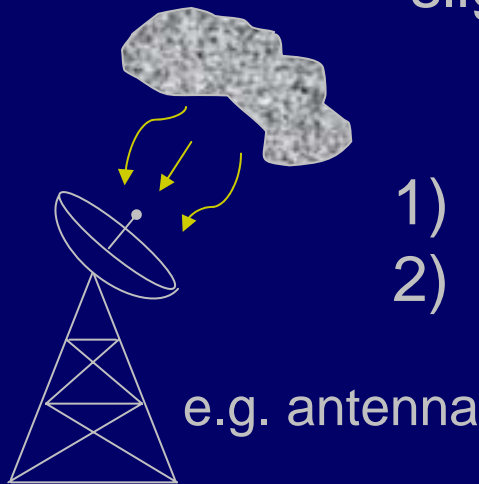
Planck's constant:  $h \cong 6.6 \times 10^{-34} \text{ [J sec]}$

Boltzmann's constant:  $k = 1.38 \times 10^{-23} \text{ [J/}^{\circ}\text{K]}$

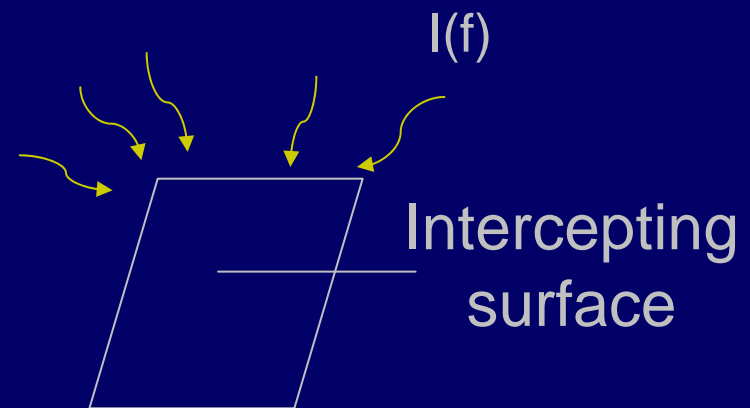
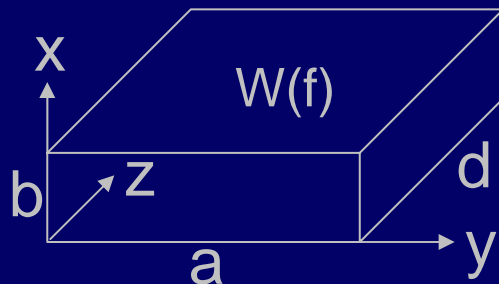


# Problem: Find thermal radiation intensity $I(\text{watts/Hz} \cdot \text{m}^2 \cdot \text{ster})$

Approach: Assume closed container, very slightly lossy, filled with photons



- 1) Find energy density spectrum  $W(f)[\text{J}/\text{m}^3 \text{ Hz}]$
- 2) Relate  $W(f)$  to  $I(f)$



First:

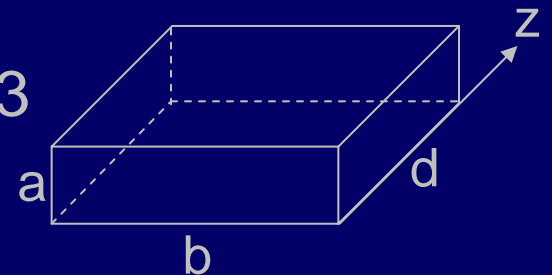
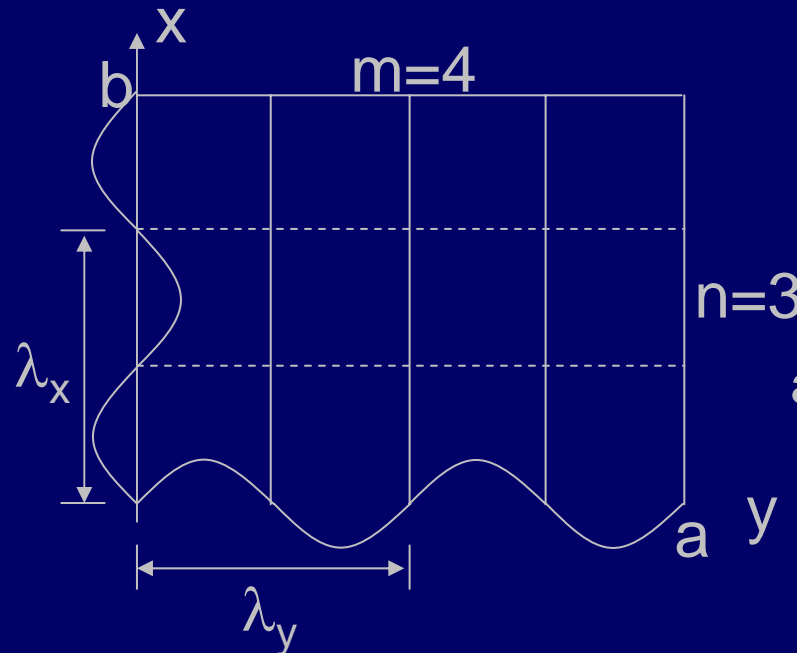
Find energy density spectrum  $W(f)[\text{Jm}^{-3}\text{Hz}^{-1}]$

$$W(f) = \underbrace{\left( \frac{\text{modes}}{\text{Hz}} \right)}_{\text{waveguide modes}} \cdot \underbrace{\left( \frac{\text{photons}}{\text{mode}} \right)}_{1 / \left( e^{hf/kT} - 1 \right)} \cdot \underbrace{\left( \frac{\text{energy}}{\text{photon}} \right)}_{hf} \cdot \frac{1}{\text{vol.}}$$

waveguide  
modes

$$\underbrace{\text{TE}_{m,n}}_{E_z \equiv 0}, \underbrace{\text{TM}_{m,n}}_{H_z \equiv 0}$$

$$\frac{m\lambda_y}{2} = a, \quad \frac{n\lambda_x}{2} = b$$



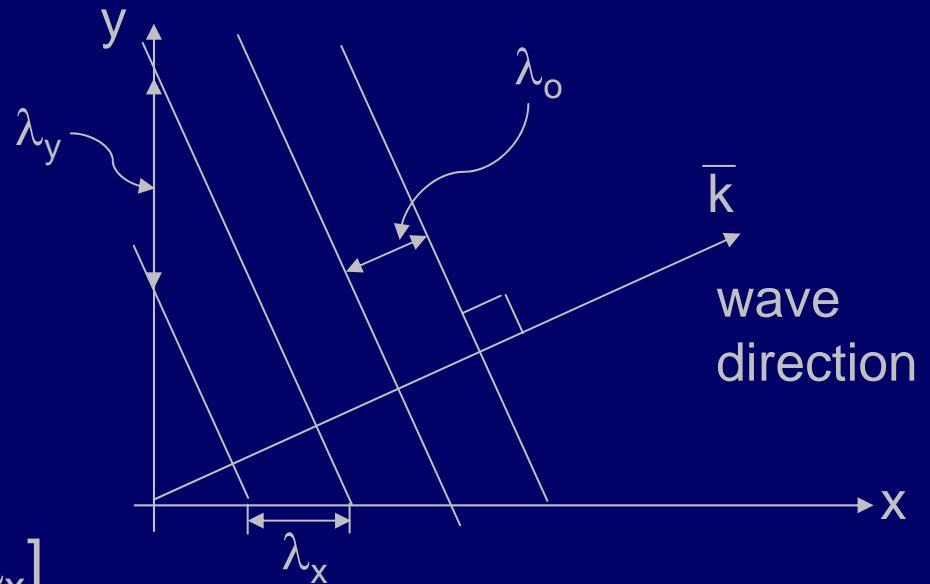
# Begin finding modes/Hz

Claim:

$$f_{m,n,p} = \sqrt{\left(\frac{cm}{2a}\right)^2 + \left(\frac{cn}{2b}\right)^2 + \left(\frac{cp}{2d}\right)^2}$$

Recall wave eqn:  $\left[\nabla^2 + \omega^2\mu\varepsilon\right] \underline{\underline{E}} = 0$

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right)$$



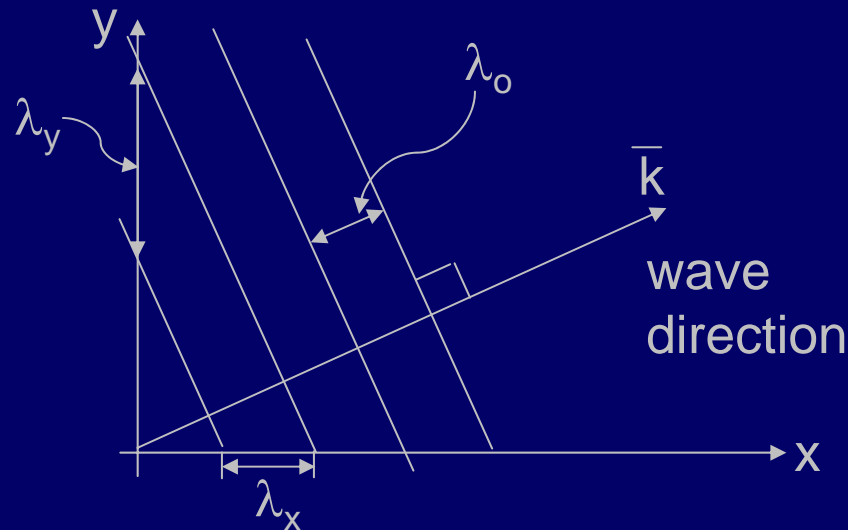
$$\underline{\underline{E}} = \underline{\underline{E}}_0 e^{-jk_x x - jk_y y - jk_z z} \quad [k_x = 2\pi/\lambda_x]$$

$$\Rightarrow k_x^2 + k_y^2 + k_z^2 = k_0^2 = \omega^2 \mu_0 \varepsilon_0 = \left(\frac{2\pi}{\lambda_0}\right)^2$$

# Uniform plane wave

$$\underline{\underline{E}} = \underline{\underline{E}}_0 e^{-jk_x x - jk_y y - jk_z z} \quad [k_x = 2\pi/\lambda_x] \quad (\nabla^2 + \omega^2 \mu \epsilon) \underline{\underline{E}} = 0$$

$$k_x^2 + k_y^2 + k_z^2 = k_0^2 = \omega^2 \mu_0 \epsilon_0 = \left( \frac{2\pi}{\lambda_0} \right)^2$$



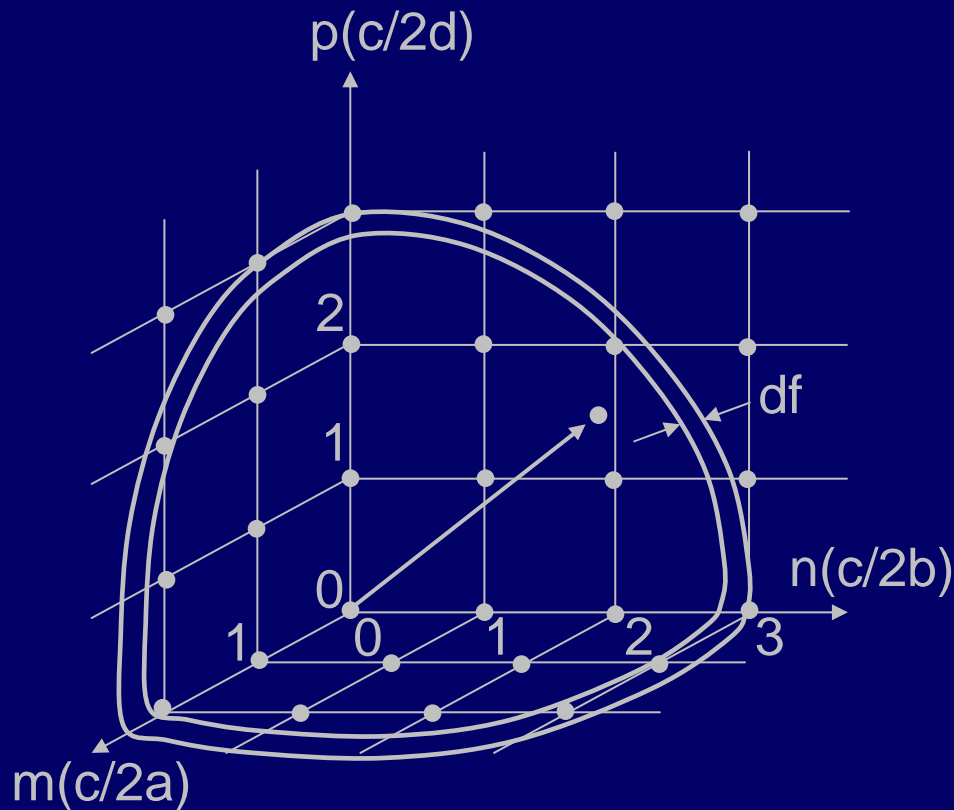
Therefore  $1/\lambda_x^2 + 1/\lambda_y^2 + 1/\lambda_z^2 = 1/\lambda_0^2 = (f/c)^2$

Note:  $m \frac{\lambda_y}{2} = a \Rightarrow (m/2a)^2 + (n/2b)^2 + (p/2d)^2 = (f/c)^2 = \text{QED}$

Next, use this relation to find modes/Hz

# Find modes/Hz:

$$f_{m,n,p} = \sqrt{\left(\frac{cm}{2a}\right)^2 + \left(\frac{cn}{2b}\right)^2 + \left(\frac{cp}{2d}\right)^2}$$



$$\begin{aligned} \# \text{ modes in } df \text{ shell} &= \\ &= \text{vol. of shell} \times 2 / \text{vol. cell} \end{aligned}$$

↓  
TE + TM

$$= \frac{4\pi f^2 df \cdot 2}{8} / \left( \frac{c}{2a} \cdot \frac{c}{2b} \cdot \frac{c}{2c} \right)$$

$$= \frac{8\pi f^2}{c^3} V_{ol} df \Rightarrow \left[ \frac{\text{modes}}{\text{Hz}} \right] df$$

↓  
abd

## Find energy density spectrum $W(f)$ :

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$$W(f) = \left( \frac{\text{modes}}{\text{Hz}} \right) \cdot \left( \frac{\text{photons}}{\text{mode}} \right) \cdot \left( \frac{\text{energy}}{\text{photon}} \right) \cdot \frac{1}{\text{vol.}}$$

$$W(f) = \left( \frac{8\pi f^2}{c^3} V \right) \left( \frac{1}{e^{hf/kT} - 1} \right) \cdot hf \cdot 1/V = \frac{8\pi}{c^3} \frac{hf^3}{e^{hf/kT} - 1} [\text{Jm}^{-3}\text{Hz}^{-1}]$$