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6.776 High Speed Communication Circuits Lecture 17 Noise in Voltage Controlled Oscillators

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VCO Noise in Wireless Systems



VCO noise has a negative impact on system performance

- Receiver lower sensitivity, poorer blocking performance
- Transmitter increased spectral emissions (output spectrum must meet a mask requirement)

Noise is characterized in frequency domain M.H. Perrott

VCO Noise in High Speed Data Links



- VCO noise also has a negative impact on data links
 - Receiver increases bit error rate (BER)
 - Transmitter increases jitter on data stream (transmitter must have jitter below a specified level)

• Noise is characterized in the time domain *M.H. Perrott*

Noise Sources Impacting VCO



Extrinsic noise

- Noise from other circuits (including PLL)
- Intrinsic noise
 - Noise due to the VCO circuitry

VCO Model for Noise Analysis



We will focus on phase noise (and its associated jitter)

Model as phase signal in output sine waveform

$$out(t) = 2\cos(2\pi f_o t + \Phi_{out}(t))$$

Simplified Relationship Between Φ_{out} and Output



Using a familiar trigonometric identity

 $out(t) = 2\cos(2\pi f_o t)\cos(\Phi_{out}(t)) - 2\sin(2\pi f_o t)\sin(\Phi_{out}(t))$

Given that the phase noise is small

 $\cos(\Phi_{out}(t)) \approx 1$, $\sin(\Phi_{out}(t)) \approx \Phi_{out}(t)$

$$\Rightarrow out(t) = 2\cos(2\pi f_o t) - 2\sin(2\pi f_o t)\Phi_{out}(t)$$

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Calculation of Output Spectral Density

$$out(t) = 2\cos(2\pi f_o t) - 2\sin(2\pi f_o t)\Phi_{out}(t)$$

Calculate autocorrelation

 $R\{out(t)\} = R\{2\cos(2\pi f_o t)\} + R\{2\sin(2\pi f_o t)\} \cdot R\{\Phi_{out}(t)\}$

Take Fourier transform to get spectrum

$$S_{out}(f) = S_{sin}(f) + S_{sin}(f) * S_{\Phi_{out}}$$

- Note that * symbol corresponds to convolution
- In general, phase spectral density can be placed into one of two categories
 - Phase noise $\Phi_{out}(t)$ is non-periodic
 - Spurious noise $\Phi_{out}(t)$ is periodic

Output Spectrum with Phase Noise

- Suppose input noise to VCO (v_n(t)) is bandlimited, non-periodic noise with spectrum S_{vn}(f)
 - In practice, derive phase spectrum as

$$S_{\Phi_{out}}(f) = \left(\frac{K_v}{f}\right)^2 S_{v_n}(f)$$

Resulting output spectrum

$$S_{out}(f) = S_{sin}(f) + S_{sin}(f) * S_{\Phi_{out}}$$



Measurement of Phase Noise in dBc/Hz



Definition of L(f)

$$L(f) = 10 \log \left(\frac{\text{Spectral density of noise}}{\text{Power of carrier}} \right)$$

- Units are dBc/Hz
- For this case

$$L(f) = 10 \log\left(\frac{2S_{\Phi_{out}}(f)}{2}\right) = 10 \log(S_{\Phi_{out}}(f))$$

Valid when $\Phi_{out}(t)$ is small in deviation (i.e., when carrier is not modulated, as currently assumed)

Single-Sided Version



Definition of L(f) remains the same

$$L(f) = 10 \log \left(\frac{\text{Spectral density of noise}}{\text{Power of carrier}} \right)$$

- Units are dBc/Hz
- For this case

$$L(f) = 10 \log\left(\frac{S_{\Phi_{out}}(f)}{1}\right) = 10 \log(S_{\Phi_{out}}(f))$$

So, we can work with either one-sided or two-sided spectral densities since L(f) is set by *ratio* of noise density to carrier power

Output Spectrum with Spurious Noise

Suppose input noise to VCO is

$$v_n(t) = \frac{d_{spur}}{K_v} \cos(2\pi f_{spur} t)$$

$$\Rightarrow \Phi_{out}(t) = 2\pi K_v \int v_n(t) dt = \frac{d_{spur}}{f_{spur}} \sin(2\pi f_{spur} t)$$

Resulting output spectrum



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Measurement of Spurious Noise in dBc



Definition of dBc

$$\log\left(\frac{\text{Power of tone}}{\text{Power of carrier}}\right)$$

- We are assuming double sided spectra, so integrate over positive and negative frequencies to get power
 - Either single or double-sided spectra can be used in practice

For this case

$$10\log\left(\frac{2(\frac{d_{spur}}{2f_{spur}})^2}{2}\right) = 20\log\left(\frac{d_{spur}}{2f_{spur}}\right) \ dBc$$

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Calculation of Intrinsic Phase Noise in Oscillators



- Noise sources in oscillators are put in two categories
 - Noise due to tank loss
 - Noise due to active negative resistance
- We want to determine how these noise sources influence the phase noise of the oscillator

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Equivalent Model for Noise Calculations



Calculate Impedance Across Ideal LC Tank Circuit



-1

Calculate input impedance about resonance

Consider
$$w = w_o + \Delta w$$
, where $w_o = \frac{1}{\sqrt{L_p C_p}}$

$$Z_{tank}(\Delta w) = \frac{j(w_o + \Delta w)L_p}{1 - (w_o + \Delta w)^2 L_p C_p}$$

$$= \frac{j(w_o + \Delta w)L_p}{\frac{1 - w_o^2 L_p C_p}{-2\Delta w (w_o L_p C_p) - \Delta w^2 L_p C_p}} \approx \frac{j(w_o + \Delta w)L_p}{-2\Delta w (w_o L_p C_p)}$$

$$\Rightarrow Z_{tank}(\Delta w) \approx \frac{jw_o L_p}{-2\Delta w (w_o L_p C_p)} = \frac{j}{2w_o C_p} \left(\frac{w_o}{\Delta w}\right)$$
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A Convenient Parameterization of LC Tank Impedance



$$Z_{tank}(\Delta w) \approx -\frac{j}{2} \frac{1}{w_o C_p} \left(\frac{w_o}{\Delta w}\right)$$

- Actual tank has loss that is modeled with R_p
 - Define Q according to actual tank

$$Q = R_p w_o C_p \quad \Rightarrow \quad \frac{1}{w_o C_p} = \frac{R_p}{Q}$$

Parameterize ideal tank impedance in terms of Q of actual tank

$$Z_{tank}(\Delta w) \approx -\frac{j}{2} \frac{R_p}{Q} \left(\frac{w_o}{\Delta w}\right)$$

$$\Rightarrow |Z_{tank}(\Delta f)|^2 \approx \left(\frac{R_p}{2Q}\frac{f_o}{\Delta f}\right)^2$$

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Overall Noise Output Spectral Density



Assume noise from active negative resistance element and tank are uncorrelated

$$\frac{\overline{v_{out}^2}}{\Delta f} = \left(\frac{\overline{i_{nRp}^2}}{\Delta f} + \frac{\overline{i_{nRn}^2}}{\Delta f}}{\Delta f}\right) |Z_{tank}(\Delta f)|^2$$

$$= \frac{\overline{i_{nRp}^2}}{\Delta f} \left(1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f}}{\Delta f}\right) |Z_{tank}(\Delta f)|^2$$

Note that the above expression represents total noise that impacts both amplitude and phase of oscillator output

Parameterize Noise Output Spectral Density



From previous slide

$$\frac{\overline{v_{out}^2}}{\Delta f} = \frac{\overline{i_{nRp}^2}}{\Delta f} \left(1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f} \right) |Z_{tank}(\Delta f)|^2$$
$$\mathbf{F}(\Delta f)$$

F(∆f) is defined as

 $F(\Delta f) = \frac{\text{total noise in tank at frequency } \Delta f}{\text{noise in tank due to tank loss at frequency } \Delta f}$

Fill in Expressions



Noise from tank is due to resistor R_p

$$\frac{g_{nRp}^2}{\Delta f} = 4kT \frac{1}{R_p}$$
 (single-sided spectrum)

Z_{tank}(\(\Delta f)) found previously

$$|Z_{tank}(\Delta f)|^2 \approx \left(\frac{R_p}{2Q}\frac{f_o}{\Delta f}\right)^2$$

Output noise spectral density expression (single-sided)

$$\frac{\overline{v_{out}^2}}{\Delta f} = 4kT \frac{1}{R_p} F(\Delta f) \left(\frac{R_p}{2Q} \frac{f_o}{\Delta f}\right)^2 = 4kTF(\Delta f)R_p \left(\frac{1}{2Q} \frac{f_o}{\Delta f}\right)^2$$

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Separation into Amplitude and Phase Noise



- Equipartition theorem (see Tom Lee, p 534 (1st ed.)) states that noise impact splits evenly between amplitude and phase for V_{sig} being a sine wave
 - Amplitude variations suppressed by feedback in oscillator

$$\Rightarrow \frac{\overline{v_{out}^2}}{\Delta f} \Big|_{\text{phase}} = 2kTF(\Delta f)R_p \left(\frac{1}{2Q}\frac{f_o}{\Delta f}\right)^2 \text{ (single-sided)}$$

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Output Phase Noise Spectrum (Leeson's Formula)

Output Spectrum



All power calculations are referenced to the tank loss resistance, R_p

$$P_{sig} = \frac{V_{sig,rms}^2}{R_p} = \frac{(A/\sqrt{2})^2}{R_p}, \quad S_{noise}(\Delta f) = \frac{1}{R_p} \frac{\overline{v_{out}^2}}{\Delta f}$$
$$L(\Delta f) = 10 \log\left(\frac{S_{noise}(\Delta f)}{P_{sig}}\right) = \frac{10 \log\left(\frac{2kTF(\Delta f)}{P_{sig}}\left(\frac{1}{2Q}\frac{f_o}{\Delta f}\right)^2\right)}{R_{sig}}$$
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Example: Active Noise Same as Tank Noise



Noise factor for oscillator in this case is

$$F(\Delta f) = 1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f} = 2$$

$$L(\Delta f)$$

$$L(\Delta f) = 10 \log \left(\frac{4kT}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right)$$

$$L(\Delta f) = \log \left(\frac{4kT}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right)$$

/

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The Actual Situation is Much More Complicated



- Impact of tank generated noise easy to assess
- Impact of transistor generated noise is complicated
 - Noise from M₁ and M₂ is modulated on and off
 - Noise from M₃ is modulated before influencing V_{out}
 - Transistors have 1/f noise
- Also, transistors can degrade Q of tank

Phase Noise of A Practical Oscillator



- Phase noise drops at -20 dB/decade over a wide frequency range, but deviates from this at:
 - Low frequencies slope increases (often -30 dB/decade)
 - High frequencies slope flattens out (oscillator tank does not filter all noise sources)
- Frequency breakpoints and magnitude scaling are not readily predicted by the analysis approach taken so far MIT OCW

Phase Noise of A Practical Oscillator



Leeson proposed an ad hoc modification of the phase noise expression to capture the above noise profile

$$L(\Delta f) = 10 \log \left(\frac{2FkT}{P_{sig}} \left(1 + \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right) \left(1 + \frac{\Delta f_{1/f^3}}{|\Delta f|} \right) \right)$$

Note: he assumed that $F(\Delta f)$ was constant over frequency

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A More Sophisticated Analysis Method



- Our concern is what happens when noise current produces a voltage across the tank
 - Such voltage deviations give rise to both amplitude and phase noise
 - Amplitude noise is suppressed through feedback (or by amplitude limiting in following buffer stages)
 - Our main concern is phase noise

We argued that impact of noise divides equally between amplitude and phase for sine wave outputs

What happens when we have a non-sine wave output?
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Modeling of Phase and Amplitude Perturbations



- Characterize impact of current noise on amplitude and phase through their associated impulse responses
 - Phase deviations are accumulated
 - Amplitude deviations are suppressed

Impact of Noise Current is Time-Varying



If we vary the time at which the current impulse is injected, its impact on phase and amplitude changes

Need a time-varying model

Illustration of Time-Varying Impact of Noise on Phase



- High impact on phase when impulse occurs close to the zero crossing of the VCO output
- Low impact on phase when impulse occurs at peak of output MIT OCW

Define Impulse Sensitivity Function (ISF) – $\Gamma(2\pi f_o t)$



ISF constructed by calculating phase deviations as impulse position is varied

• Observe that it is periodic with same period as VCO output MIT OCW

Parameterize Phase Impulse Response in Terms of ISF



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Examples of ISF for Different VCO Output Waveforms



- ISF (i.e., Γ) is approximately proportional to derivative of VCO output waveform
 - Its magnitude indicates where VCO waveform is most sensitive to noise current into tank with respect to creating phase noise
- ISF is periodic
- In practice, derive it from simulation of the VCO

Phase Noise Analysis Using LTV Framework

$$h_{\Phi}(t,\tau) \longrightarrow \Phi_{out}(t)$$

Computation of phase deviation for an arbitrary noise current input

$$\Phi_{out}(t) = \int_{-\infty}^{\infty} h_{\Phi}(t,\tau) i_n(\tau) d\tau = \frac{1}{q_{max}} \int_{-\infty}^{t} \Gamma(2\pi f_o \tau) i_n(\tau) d\tau$$

Analysis simplified if we describe ISF in terms of its Fourier series (note: c_o here is different than book)

$$\Gamma(2\pi f_o \tau) = \frac{c_o}{\sqrt{2}} + \sum_{n=1}^{\infty} c_n \cos(n2\pi f_o \tau + \theta_n)$$

$$\Rightarrow \Phi_{out}(t) = \int_{-\infty}^{t} \left(\frac{c_o}{\sqrt{2}} + \sum_{n=1}^{\infty} c_n \cos(n2\pi f_o \tau + \theta_n) \right) \frac{i_n(\tau)}{q_{max}} d\tau$$

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Block Diagram of LTV Phase Noise Expression



Noise from current source is mixed down from different frequency bands and scaled according to ISF coefficients

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Phase Noise Calculation for White Noise Input (Part 1)



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Phase Noise Calculation for White Noise Input (Part 2)



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Spectral Density of Phase Signal

From the previous slide

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\left(\frac{c_o}{2}\right)^2 S_A(f) + \left(\frac{c_1}{2}\right)^2 S_B(f) + \cdots\right)$$

Substitute in for S_A(f), S_B(f), etc.

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\left(\frac{c_o}{2}\right)^2 + \left(\frac{c_1}{2}\right)^2 + \cdots\right) 2 \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{2\Delta f}$$

Resulting expression

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\sum_{n=0}^{\infty} c_n^2\right) \frac{1}{4} \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f}$$

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Output Phase Noise



We now know

$$S_{\Phi_{out}}(f) = \left|\frac{1}{2\pi f}\right|^2 \left(\sum_{n=0}^{\infty} c_n^2\right) \frac{1}{4} \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f}$$

$$L(\Delta f) = 10 \log(S_{\Phi_{out}}(\Delta f))$$

Resulting phase noise

$$L(\Delta f) = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\sum_{n=0}^{\infty} c_n^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \right)$$

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The Impact of 1/f Noise in Input Current (Part 1)



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The Impact of 1/f Noise in Input Current (Part 2)



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Calculation of Output Phase Noise in 1/f³ region

From the previous slide

$$S_{\Phi_{out}}(f) \Big|_{1/f^3} = \left(\frac{1}{2\pi f}\right)^2 \left(\frac{c_o}{2}\right)^2 S_A(f)$$

Assume that input current has 1/f noise with corner frequency f_{1/f}

$$S_A(f) = \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f} \left(\frac{f_{1/f}}{\Delta f}\right)$$

Corresponding output phase noise

$$L(\Delta f) \Big|_{1/f^3} = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\frac{c_o}{2} \right)^2 S_A(f) \right)$$
$$= 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(c_o^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \left(\frac{f_{1/f}}{\Delta f} \right) \right)$$

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Calculation of 1/f³ Corner Frequency



Impact of Oscillator Waveform on 1/f³ Phase Noise



- Key Fourier series coefficient of ISF for 1/f³ noise is c_o
 - If DC value of ISF is zero, c_o is also zero
- For symmetric oscillator output waveform
 - DC value of ISF is zero no upconversion of flicker noise! (i.e. output phase noise does not have 1/f³ region)
- For asymmetric oscillator output waveform
 - **DC** value of ISF is nonzero flicker noise has impact

Issue – We Have Ignored Modulation of Current Noise



In practice, transistor generated noise is modulated by the varying bias conditions of its associated transistor

- As transistor goes from saturation to triode to cutoff, its associated noise changes dramatically
- Can we include this issue in the LTV framework?

Inclusion of Current Noise Modulation



By inspection of figure

$$\Rightarrow \Phi_{out}(t) = \frac{1}{q_{max}} \int_{-\infty}^{t} \frac{\Gamma(2\pi f_o \tau) \alpha (2\pi f_o \tau) i_{in}(\tau) d\tau}{(2\pi f_o \tau) (2\pi f$$

We therefore apply previous framework with ISF as

$$\Gamma_{eff}(2\pi f_o \tau) = \Gamma(2\pi f_o \tau) \alpha(2\pi f_o \tau)$$

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Placement of Current Modulation for Best Phase Noise



Phase noise expression (ignoring 1/f noise)

$$L(\Delta f) = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\sum_{n=0}^{\infty} c_n^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \right)$$

 Minimum phase noise achieved by minimizing sum of square of Fourier series coefficients (i.e. rms value of Γ_{eff}) MIT OCW

Colpitts Oscillator Provides Optimal Placement of α



 Current is injected into tank at bottom portion of VCO swing

 Current noise accompanying current has minimal impact on VCO output phase

Summary of LTV Phase Noise Analysis Method

- Step 1: calculate the impulse sensitivity function of each oscillator noise source using a simulator
- Step 2: calculate the noise current modulation waveform for each oscillator noise source using a simulator
- Step 3: combine above results to obtain Γ_{eff}(2πf_ot) for each oscillator noise source
- Step 4: calculate Fourier series coefficients for each Γ_{eff}(2πf_ot)
- Step 5: calculate spectral density of each oscillator noise source (before modulation)
- Step 6: calculate overall output phase noise using the results from Step 4 and 5 and the phase noise expressions derived in this lecture (or the book)

Alternate Approach for Negative Resistance Oscillator



Recall Leeson's formula

$$L(\Delta f) = 10 \log \left(\frac{2kTF(\Delta f)}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right)$$

Key question: how do you determine F(∆f)?
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F(Af) Has Been Determined for This Topology



- Rael et. al. have come up with a closed form expression for F(∆f) for the above topology
- In the region where phase noise falls at -20 dB/dec:

$$F(\Delta f) = 1 + \frac{2\gamma I_{bias}R_p}{\pi A} + \gamma \frac{4}{9}g_{do,M3}R_p$$

 $(R_p = R_{p1} = R_{p2})$ *MIT OCW*

- Phase noise analysis
 - J.J. Rael and A.A. Abidi, "Physical Processes of Phase Noise in Differential LC Oscillators", Custom Integrated Circuits Conference, 2000, pp 569-572
- Implementation
 - Emad Hegazi et. al., "A Filtering Technique to Lower LC Oscillator Phase Noise", JSSC, Dec 2001, pp 1921-1930

Designing for Minimum Phase Noise



$$(\Delta f) = 1 + \frac{2\gamma I_{bias}R_p}{\pi A} + \gamma \frac{4}{9}g_{do,M3}R_p$$
(A) (B) (C)

(A) Noise from tank resistance

(B) Noise from M_1 and M_2

(C) Noise from M₃

- To achieve minimum phase noise, we'd like to minimize F(\Delta f)
- The above formulation provides insight of how to do this
 - Key observation: (C) is often quite significant

Elimination of Component (C) in F(Af)



- Capacitor C_f shunts noise from M₃ away from tank
 - Component (C) is eliminated!
- Issue impedance at node V_s is very low
 - Causes M₁ and M₂ to present a low impedance to tank during portions of the VCO cycle
 - Q of tank is degraded

Use Inductor to Increase Impedance at Node V_s



- Voltage at node V_s is a rectified version of oscillator output
 - Fundamental component is at twice the oscillation frequency
- Place inductor between V_s and current source
 - Choose value to resonate with C_f and parasitic source capacitance at frequency 2f_o
- Impedance of tank not degraded by M₁ and M₂
 - Q preserved!

Designing for Minimum Phase Noise – Next Part



Let's now focus on component (B)

Depends on bias current and oscillation amplitude M.H. Perrott

Minimization of Component (B) in F(Af)



- So, it would seem that I_{bias} has no effect!
 - Not true want to maximize A (i.e. P_{sig}) to get best phase noise, as seen by:

$$L(\Delta f) = 10 \log \left(\frac{2kTF(\Delta f)}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right)$$

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Current-Limited Versus Voltage-Limited Regimes



$$F(\Delta f) = 1 + \frac{2\gamma I_{bias} R_p}{\pi A}$$
(B)

- Oscillation amplitude, A, cannot be increased above supply imposed limits
- If I_{bias} is increased above the point that A saturates, then (B) increases
- Current-limited regime: amplitude given by A

$$=rac{2}{\pi}I_{bias}R_p$$

 \sim

Voltage-limited regime: amplitude saturated

Best phase noise achieved at boundary between these regimes!

Final Comments

- Hajimiri method useful as a numerical procedure to determine phase noise
 - Provides insights into 1/f noise upconversion and impact of noise current modulation
- Rael method useful for CMOS negative-resistance topology
 - Closed form solution of phase noise!
 - Provides a great deal of design insight
- Another numerical method
 - Spectre RF from Cadence now does a reasonable job of estimating phase noise for many oscillators
 - Useful for verifying design ideas and calculations