### 6.864: Lecture 16 (November 8th, 2005) <br> Machine Translation Part II

## Overview

- The Structure of IBM Models 1 and 2
- EM Training of Models 1 and 2
- Some examples of training Models 1 and 2
- Decoding


## Recap: IBM Model 1

- Aim is to model the distribution

$$
P(\mathbf{f} \mid \mathbf{e})
$$

where $\mathbf{e}$ is an English sentence $e_{1} \ldots e_{l}$
$\mathbf{f}$ is a French sentence $f_{1} \ldots f_{m}$

- Only parameters in Model 1 are translation parameters:

$$
\mathbb{T}(f \mid e)
$$

where $f$ is a French word, $e$ is an English word

- e.g.,

$$
\begin{aligned}
\mathrm{T}(l e \mid \text { the }) & =0.7 \\
\mathrm{~T}(l a \mid \text { the }) & =0.2 \\
\mathrm{~T}\left(l^{\prime} \mid \text { the }\right) & =0.1
\end{aligned}
$$

## Recap: Alignments in IBM Model 1

- Aim is to model the distribution

$$
P(\mathbf{f} \mid \mathbf{e})
$$

where $\mathbf{e}$ is an English sentence $e_{1} \ldots e_{l}$
$\mathbf{f}$ is a French sentence $f_{1} \ldots f_{m}$

- An alignment a identifies which English word each French word originated from
- Formally, an alignment a is $\left\{a_{1}, \ldots a_{m}\right\}$, where each $a_{j} \in\{0 \ldots l\}$.
- There are $(l+1)^{m}$ possible alignments.

In IBM model 1 all alignments a are equally likely:

$$
P(\mathbf{a} \mid \mathbf{e})=C \times \frac{1}{(l+1)^{m}}
$$

where $C=\operatorname{prob}(\operatorname{length}(\mathbf{f})=m)$ is a constant.

## IBM Model 1: The Generative Process

To generate a French string f from an English string e:

- Step 1: Pick the length of $\mathbf{f}$ (all lengths equally probable, probability $C$ )
- Step 2: Pick an alignment a with probability $\frac{1}{(l+1)^{m}}$
- Step 3: Pick the French words with probability

$$
P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})=\prod_{j=1}^{m} \mathrm{~T}\left(f_{j} \mid e_{a_{j}}\right)
$$

The final result:

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=P(\mathbf{a} \mid e) P(\mathbf{f} \mid \mathbf{a}, e)=\frac{C}{(l+1)^{m}} \prod_{j=1}^{m} \mathrm{~T}\left(f_{j} \mid e_{a_{j}}\right)
$$

## IBM Model 2

- Only difference: we now introduce alignment or distortion parameters

$$
\begin{aligned}
\mathbf{D}(i \mid j, l, m)= & \text { Probability that } j ’ \text { th French word is connected } \\
& \text { to } i \text { 'th English word, given sentence lengths of } \\
& \mathbf{e} \text { and } \mathbf{f} \text { are } l \text { and } m \text { respectively }
\end{aligned}
$$

- Define

$$
P(\mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right)
$$

where $\mathbf{a}=\left\{a_{1}, \ldots a_{m}\right\}$

- Gives

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} \mathrm{D}\left(a_{j} \mid j, l, m\right) \mathbb{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- Note: Model 1 is a special case of Model 2, where $\mathbf{D}(i \mid j, l, m)=\frac{1}{l+1}$ for all $i, j$.


## An Example

$$
\begin{aligned}
l & =6 \\
m & =7 \\
\mathbf{e} & =\text { And the program has been implemented } \\
\mathbf{f} & =\text { Le programme a ete mis en application } \\
\mathbf{a} & =\{2,3,4,5,6,6,6\}
\end{aligned}
$$

$$
\begin{aligned}
P(\mathbf{a} \mid \mathbf{e}, 6,7)= & \mathrm{D}(2 \mid 1,6,7) \times \\
& \mathrm{D}(3 \mid 2,6,7) \times \\
& \mathrm{D}(4 \mid 3,6,7) \times \\
& \mathrm{D}(5 \mid 4,6,7) \times \\
& \mathrm{D}(6 \mid 5,6,7) \times \\
& \mathrm{D}(6 \mid 6,6,7) \times \\
& \mathrm{D}(6 \mid 7,6,7)
\end{aligned}
$$

$$
\begin{aligned}
P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})= & \mathrm{T}(\text { Le } \mid \text { the }) \times \\
& \mathrm{T}(\text { programme } \mid \text { program }) \times \\
& \mathrm{T}(\text { a } \mid \text { has }) \times \\
& \mathrm{T}(\text { ete } \mid \text { been }) \times \\
& \mathrm{T}(\text { mis } \mid \text { implemented }) \times \\
& \mathrm{T}(\text { en } \mid \text { implemented }) \times \\
& \mathrm{T}(\text { application } \mid \text { implemented })
\end{aligned}
$$

## IBM Model 2: The Generative Process

To generate a French string f from an English string e:

- Step 1: Pick the length of $\mathbf{f}$ (all lengths equally probable, probability $C$ )
- Step 2: Pick an alignment $\mathbf{a}=\left\{a_{1}, a_{2} \ldots a_{m}\right\}$ with probability

$$
\prod_{j=1}^{m} \mathrm{D}\left(a_{j} \mid j, l, m\right)
$$

- Step 3: Pick the French words with probability

$$
P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})=\prod_{j=1}^{m} \mathbb{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

The final result:

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=P(\mathbf{a} \mid \mathbf{e}) P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})=C \prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathbb{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

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## A Hidden Variable Problem

- We have:

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=C \prod_{j=1}^{m} \mathrm{D}\left(a_{j} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- And:

$$
P(\mathbf{f} \mid \mathbf{e})=\sum_{\mathbf{a} \in \mathcal{A}} C \prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

where $\mathcal{A}$ is the set of all possible alignments.

## A Hidden Variable Problem

- Training data is a set of $\left(\mathbf{f}_{k}, \mathbf{e}_{k}\right)$ pairs, likelihood is

$$
\sum_{k} \log P\left(\mathbf{f}_{k} \mid \mathbf{e}_{k}\right)=\sum_{k} \log \sum_{\mathbf{a} \in \mathcal{A}} P\left(\mathbf{a} \mid \mathbf{e}_{k}\right) P\left(\mathbf{f}_{k} \mid \mathbf{a}, \mathbf{e}_{k}\right)
$$

where $\mathcal{A}$ is the set of all possible alignments.

- We need to maximize this function w.r.t. the translation parameters, and the alignment probabilities
- EM can be used for this problem: initialize parameters randomly, and at each iteration choose

$$
\Theta_{t}=\operatorname{argmax}_{\Theta} \sum_{k} \sum_{\mathbf{a} \in \mathcal{A}} \Pi P\left(\mathbf{a} \mid \mathbf{e}_{k}, \mathbf{f}_{k} \Theta^{t-1}\right) \log P\left(\mathbf{f}_{k}, \mathbf{a} \mid \mathbf{e}_{k}, \Theta\right)
$$

where $\Theta^{t}$ are the parameter values at the $t^{\prime}$ th iteration.

## Model 2 as a Product of Multinomials

- The model can be written in the form

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=\prod_{r} \Theta_{r}^{\operatorname{Count}(\mathbf{f}, \mathbf{a}, \mathbf{e}, r)}
$$

where the parameters $\Theta_{r}$ correspond to the $\mathrm{T}(f \mid e)$ and $\mathrm{D}(i \mid j, l, m)$ parameters

- To apply EM, we need to calculate expected counts

$$
\overline{\operatorname{Count}}(r)=\sum_{k} \sum_{\mathbf{a}} P\left(\mathbf{a} \mid \mathbf{e}_{\mathbf{k}}, \mathbf{f}_{\mathbf{k}}, \bar{\Theta}\right) \operatorname{Count}\left(\mathbf{f}_{\mathbf{k}}, \mathbf{a}, \mathbf{e}_{\mathbf{k}}, r\right)
$$

## A Crucial Step in the EM Algorithm

- Say we have the following (e,f) pair:

$$
\begin{aligned}
& \mathbf{e}=\text { And the program has been implemented } \\
& \mathbf{f}=\text { Le programme a ete mis en application }
\end{aligned}
$$

- Given that $\mathbf{f}$ was generated according to Model 2, what is the probability that $a_{1}=2$ ? Formally:

$$
\operatorname{Prob}\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}\right)=\sum_{\mathbf{a}: a_{1}=2} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, \bar{\Theta})
$$

## Calculating Expected Translation Counts

- One example:

$$
\overline{\operatorname{Count}}(\mathrm{T}(l e \mid \text { the }))=\sum_{(i, j, k) \in \mathcal{S}} P\left(a_{j}=i \mid \mathbf{e}_{\mathbf{k}}, \mathbf{f}_{\mathbf{k}}, \bar{\Theta}\right)
$$

where $\mathcal{S}$ is the set of all $(i, j, k)$ triples such that $e_{k, i}=$ the and $f_{k, j}=l e$

## Calculating Expected Distortion Counts

- One example:
$\overline{\operatorname{Count}}(\mathbf{D}(i=5 \mid j=6, l=10, m=11))=\sum_{k \in \mathcal{S}} P\left(a_{6}=5 \mid \mathbf{e}_{\mathbf{k}}, \mathbf{f}_{\mathbf{k}}, \bar{\Theta}\right)$
where $\mathcal{S}$ is the set of all values of $k$ such that $\operatorname{length}\left(\mathbf{e}_{\mathbf{k}}\right)=10$ and $\operatorname{length}\left(\mathbf{f}_{\mathbf{k}}\right)=11$


## Models 1 and 2 Have a Simple Structure

- We have $\mathbf{f}=\left\{f_{1} \ldots f_{m}\right\}, \mathbf{a}=\left\{a_{1} \ldots a_{m}\right\}$, and

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)
$$

where

$$
P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)=\mathrm{D}\left(a_{j} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- We can think of the $m\left(f_{j}, a_{j}\right)$ pairs as being generated independently


## The Answer

$$
\begin{aligned}
\operatorname{Prob}\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}\right) & =\sum_{\mathbf{a}: a_{1}=2} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, l, m) \\
& =\frac{\mathrm{D}\left(a_{1}=2 \mid j=1, l=6, m=7\right) \mathrm{T}(l e \mid \text { the })}{\sum_{i=0}^{l} \mathrm{D}\left(a_{1}=i \mid j=1, l=6, m=7\right) \mathrm{T}\left(l e \mid e_{i}\right)}
\end{aligned}
$$

Follows directly because the ( $a_{j}, f_{j}$ ) pairs are independent:

$$
\begin{align*}
P\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}, l, m\right) & =\frac{P\left(a_{1}=2, f_{1}=l e \mid f_{2} \ldots f_{m}, \mathbf{e}, l, m\right)}{P\left(f_{1}=l e \mid f_{2} \ldots f_{m}, \mathbf{e}, l, m\right)}  \tag{1}\\
& =\frac{P\left(a_{1}=2, f_{1}=l e \mid \mathbf{e}, l, m\right)}{P\left(f_{1}=l e \mid \mathbf{e}, l, m\right)}  \tag{2}\\
& =\frac{P\left(a_{1}=2, f_{1}=l e \mid \mathbf{e}, l, m\right)}{\sum_{i} P\left(a_{1}=i, f_{1}=l e \mid \mathbf{e}, l, m\right)}
\end{align*}
$$

where (2) follows from (1) because $P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)$

## A General Result

$$
\begin{aligned}
\operatorname{Prob}\left(a_{j}=i \mid \mathbf{f}, \mathbf{e}\right) & =\sum_{\mathbf{a}: a_{j}=i} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, l, m) \\
& =\frac{\mathrm{D}\left(a_{j}=i \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathrm{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
\end{aligned}
$$

## Alignment Probabilities have a Simple Solution!

- e.g., Say we have $l=6, m=7$,

$$
\begin{aligned}
& \mathbf{e}=\text { And the program has been implemented } \\
& \mathbf{f}=\text { Le programme a ete mis en application }
\end{aligned}
$$

- Probability of "mis" being connected to "the":

$$
P\left(a_{5}=2 \mid \mathbf{f}, \mathbf{e}\right)=\frac{\mathbf{D}\left(a_{5}=2 \mid j=5, l=6, m=7\right) \mathrm{T}(m i s \mid \text { the })}{Z}
$$

where

$$
\begin{aligned}
Z= & \mathrm{D}\left(a_{5}=0 \mid j=5, l=6, m=7\right) \mathrm{T}(\text { mis } \mid N U L L) \\
& +\mathrm{D}\left(a_{5}=1 \mid j=5, l=6, m=7\right) \mathrm{T}(\text { mis } \mid \text { And }) \\
& +\mathrm{D}\left(a_{5}=2 \mid j=5, l=6, m=7\right) \mathrm{T}(\text { mis } \mid \text { the }) \\
& +\mathrm{D}\left(a_{5}=3 \mid j=5, l=6, m=7\right) \mathrm{T}(\text { mis } \mid \text { program }) \\
& +\quad \ldots
\end{aligned}
$$

## The EM Algorithm for Model 2

- Defi ne
$\mathbf{e}[k]$ for $k=1 \ldots n$ is the $k$ 'th English sentence
$\mathbf{f}[k]$ for $k=1 \ldots n$ is the $k$ 'th French sentence
$l[k]$ is the length of $\mathbf{e}[k]$
$m[k]$ is the length of $\mathbf{f}[k]$
$\mathbf{e}[k, i] \quad$ is the $i$ 'th word in $\mathbf{e}[k]$
$\mathbf{f}[k, j] \quad$ is the $j$ 'th word in $\mathbf{f}[k]$
- Current parameters $\Theta^{t-1}$ are

$$
\begin{aligned}
\mathrm{T}(f \mid e) \\
\mathrm{D}(i \mid j, l, m)
\end{aligned} \quad \text { for all } f \in \mathcal{F}, e \in \mathcal{E}
$$

- We'll see how the EM algorithm re-estimates the $T$ and $D$ parameters


## Step 1: Calculate the Alignment Probabilities

- Calculate an array of alignment probabilities (for $(k=1 \ldots n),(j=1 \ldots m[k]),(i=0 \ldots l[k])$ ):

$$
\begin{aligned}
a[i, j, k] & =P\left(a_{j}=i \mid \mathbf{e}[k], \mathbf{f}[k], \Theta^{t-1}\right) \\
& =\frac{\mathrm{D}\left(a_{j}=i \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathrm{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
\end{aligned}
$$

where $e_{i}=\mathbf{e}[k, i], f_{j}=\mathbf{f}[k, j]$, and $l=l[k], m=m[k]$
i.e., the probability of $\mathbf{f}[k, j]$ being aligned to $\mathbf{e}[k, i]$.

## Step 2: Calculating the Expected Counts

- Calculate the translation counts

$$
\operatorname{tcount}(e, f)=\sum_{\substack{i, j, k: \\ \mathrm{e}[k, i]=e, \mathbf{f}[k, j]=f}} a[i, j, k]
$$

- tcount $(e, f)$ is expected number of times that $e$ is aligned with $f$ in the corpus


## Step 2: Calculating the Expected Counts

- Calculate the alignment counts

$$
\operatorname{acount}(i, j, l, m)=\sum_{\substack{k: \\ l[k]=l, m[k]=m}} a[i, j, k]
$$

- Here, acount $(i, j, l, m)$ is expected number of times that $e_{i}$ is aligned to $f_{j}$ in English/French sentences of lengths $l$ and $m$ respectively


## Step 3: Re-estimating the Parameters

- New translation probabilities are then defi ned as

$$
\mathrm{T}(f \mid e)=\frac{\operatorname{tcount}(e, f)}{\sum_{f} \operatorname{tcount}(e, f)}
$$

- New alignment probabilities are defi ned as

$$
\mathrm{D}(i \mid j, l, m)=\frac{\operatorname{acount}(i, j, l, m)}{\sum_{i} \operatorname{acount}(i, j, l, m)}
$$

This defines the mapping from $\Theta^{t-1}$ to $\Theta^{t}$

## A Summary of the EM Procedure

- Start with parameters $\Theta^{t-1}$ as

$$
\begin{aligned}
\mathrm{T}(f \mid e) & \text { for all } f \in \mathcal{F}, e \in \mathcal{E} \\
\mathrm{D}(i \mid j, l, m) &
\end{aligned}
$$

- Calculate alignment probabilities under current parameters

$$
a[i, j, k]=\frac{\mathbf{D}\left(a_{j}=i \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathrm{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
$$

- Calculate expected counts $\operatorname{tcount}(e, f)$, acount $(i, j, l, m)$ from the alignment probabilities
- Re-estimate $\mathrm{T}(f \mid e)$ and $\mathrm{D}(i \mid j, l, m)$ from the expected counts


## The Special Case of Model 1

- Start with parameters $\Theta^{t-1}$ as

$$
\mathbb{T}(f \mid e) \quad \text { for all } f \in \mathcal{F}, e \in \mathcal{E}
$$

(no alignment parameters)

- Calculate alignment probabilities under current parameters

$$
a[i, j, k]=\frac{\mathrm{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathrm{~T}\left(f_{j} \mid e_{i^{\prime}}\right)}
$$

(because $\mathrm{D}\left(a_{j}=i \mid j, l, m\right)=1 /(l+1)^{m}$ for all $\left.i, j, l, m\right)$.

- Calculate expected counts $\operatorname{tcount}(e, f)$
- Re-estimate $\mathbf{T}(f \mid e)$ from the expected counts


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## An Example of Training Models 1 and 2

Example will use following translations:

$$
\begin{aligned}
\mathbf{e}[1] & =\text { the } \text { dog } \\
\mathbf{f}[1] & =\text { le chien } \\
& \\
\mathbf{e}[2] & =\text { the cat } \\
\mathbf{f}[2] & =\text { le chat } \\
\mathbf{e}[3] & =\text { the bus } \\
\mathbf{f}[3] & =l^{\prime} \text { autobus }
\end{aligned}
$$

NB: I won't use a NULL word $e_{0}$

|  | $e$ | $f$ | T $(f$ | e) |
| :---: | :---: | :---: | :---: | :---: |
|  | the | le | 0.23 |  |
|  | the | chien | 0.2 |  |
|  | the | chat | 0.11 |  |
|  | the | 1 ' | 0.25 |  |
|  | the | autobus | 0.21 |  |
|  | dog | le | 0.2 |  |
|  | dog | chien | 0.16 |  |
|  | dog | chat | 0.33 |  |
|  | dog | $1 '$ | 0.12 |  |
| Initial (random) parameters: | dog | autobus | 0.18 |  |
|  | cat | le | 0.26 |  |
|  | cat | chien | 0.28 |  |
|  | cat | chat | 0.19 |  |
|  | cat | 1 ' | 0.24 |  |
|  | cat | autobus | 0.03 |  |
|  | bus | le | 0.22 |  |
|  | bus | chien | 0.05 |  |
|  | bus | chat | 0.26 |  |
|  | bus | 1 ' | 0.19 |  |
|  | bus | autobus | 0.27 |  |

## Alignment probabilities:

| i | j | k | $\mathrm{a}(\mathrm{i}, \mathrm{j}, \mathrm{k})$ |
| :--- | :--- | :--- | :--- |
| 1 | 1 | 0 | 0.526423237959726 |
| 2 | 1 | 0 | 0.473576762040274 |
| 1 | 2 | 0 | 0.552517995605817 |
| 2 | 2 | 0 | 0.447482004394183 |
| 1 | 1 | 1 | 0.466532602066533 |
| 2 | 1 | 1 | 0.533467397933467 |
| 1 | 2 | 1 | 0.356364544422507 |
| 2 | 2 | 1 | 0.643635455577493 |
| 1 | 1 | 2 | 0.571950438336247 |
| 2 | 1 | 2 | 0.428049561663753 |
| 1 | 2 | 2 | 0.439081311724508 |
| 2 | 2 | 2 | 0.560918688275492 |


|  | $e$ | $f$ | $t \operatorname{lount}(e, f)$ |
| :--- | :--- | :--- | :--- |
| the | le | 0.99295584002626 |  |
| the | chien | 0.552517995605817 |  |
| the | chat | 0.356364544422507 |  |
| the | l' | 0.571950438336247 |  |
| the | autobus | 0.439081311724508 |  |
| dog | le | 0.473576762040274 |  |
| dog | chien | 0.447482004394183 |  |
| dog | chat | 0 |  |
| dog | l' | 0 |  |
| dog | autobus | 0 |  |
| cat | le | 0.533467397933467 |  |
| cat | chien | 0 |  |
| cat | chat | 0.643635455577493 |  |
| cat | l' | 0 |  |
| cat | autobus | 0 |  |
| bus | le | 0 |  |
| bus | chien | 0 |  |
| bus | chat | 0 |  |
| bus | l' | 0.428049561663753 |  |
| bus | autobus | 0.560918688275492 |  |


|  | $e$ | $f$ | old | new |
| :---: | :---: | :---: | :---: | :---: |
|  | the | le | 0.23 | 0.34 |
|  | the | chien | 0.2 | 0.19 |
|  | the | chat | 0.11 | 0.12 |
|  | the | 1 ' | 0.25 | 0.2 |
|  | the | autobus | 0.21 | 0.15 |
|  | dog | le | 0.2 | 0.51 |
|  | dog | chien | 0.16 | 0.49 |
|  | dog | chat | 0.33 | 0 |
|  | dog | 1 ' | 0.12 | 0 |
| Old and new parameters: | dog | autobus | 0.18 | 0 |
|  | cat | le | 0.26 | 0.45 |
|  | cat | chien | 0.28 | 0 |
|  | cat | chat | 0.19 | 0.55 |
|  | cat | $1 '$ | 0.24 | 0 |
|  | cat | autobus | 0.03 | 0 |
|  | bus | le | 0.22 | 0 |
|  | bus | chien | 0.05 | 0 |
|  | bus | chat | 0.26 | 0 |
|  | bus | 1 ' | 0.19 | 0.43 |
|  | bus | autobus | 0.27 | 0.57 |


| $e$ | $f$ |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| the | le | 0.23 | 0.34 | 0.46 | 0.56 | 0.64 | 0.71 |
| the | chien | 0.2 | 0.19 | 0.15 | 0.12 | 0.09 | 0.06 |
| the | chat | 0.11 | 0.12 | 0.1 | 0.08 | 0.06 | 0.04 |
| the | l' | 0.25 | 0.2 | 0.17 | 0.15 | 0.13 | 0.11 |
| the | autobus | 0.21 | 0.15 | 0.12 | 0.1 | 0.08 | 0.07 |
| dog | le | 0.2 | 0.51 | 0.46 | 0.39 | 0.33 | 0.28 |
| dog | chien | 0.16 | 0.49 | 0.54 | 0.61 | 0.67 | 0.72 |
| dog | chat | 0.33 | 0 | 0 | 0 | 0 | 0 |
| dog | l' | 0.12 | 0 | 0 | 0 | 0 | 0 |
| dog | autobus | 0.18 | 0 | 0 | 0 | 0 | 0 |
| cat | le | 0.26 | 0.45 | 0.41 | 0.36 | 0.3 | 0.26 |
| cat | chien | 0.28 | 0 | 0 | 0 | 0 | 0 |
| cat | chat | 0.19 | 0.55 | 0.59 | 0.64 | 0.7 | 0.74 |
| cat | l | 0.24 | 0 | 0 | 0 | 0 | 0 |
| cat | autobus | 0.03 | 0 | 0 | 0 | 0 | 0 |
| bus | le | 0.22 | 0 | 0 | 0 | 0 | 0 |
| bus | chien | 0.05 | 0 | 0 | 0 | 0 | 0 |
| bus | chat | 0.26 | 0 | 0 | 0 | 0 | 0 |
| bus | l | 0.19 | 0.43 | 0.47 | 0.47 | 0.47 | 0.48 |
| bus | autobus | 0.27 | 0.57 | 0.53 | 0.53 | 0.53 | 0.52 |


| $e$ | $f$ |  |
| :--- | :--- | :--- | :--- |
| the | le | 0.94 |
| the | chien | 0 |
| the | chat | 0 |
| the | $l^{\prime}$ | 0.03 |
| the | autobus | 0.02 |
| dog | le | 0.06 |
| dog | chien | 0.94 |
| $\operatorname{dog}$ | chat | 0 |
| dog | $l^{\prime}$ | 0 |
| dog | autobus | 0 |
| cat | le | 0.06 |
| cat | chien | 0 |
| cat | chat | 0.94 |
| cat | l | 0 |
| cat | autobus | 0 |
| bus | le | 0 |
| bus | chien | 0 |
| bus | chat | 0 |
| bus | l | 0.49 |
| bus | autobus | 0.51 |


|  | $e$ | $f$ | $\mathbf{T}(f \mid e)$ |
| :---: | :---: | :---: | :---: |
|  | the | le | 0.67 |
|  | the | chien | 0 |
|  | the | chat | 0 |
|  | the | 1 ' | 0.33 |
|  | the | autobus | 0 |
|  | dog | le | 0 |
|  | dog | chien | 1 |
|  | dog | chat | 0 |
|  | dog | 1 ' | 0 |
| Model 2 has several local maxima - good one: | dog | autobus | 0 |
|  | cat | le | 0 |
|  | cat | chien | 0 |
|  | cat | chat | 1 |
|  | cat | 1 ' | 0 |
|  | cat | autobus | 0 |
|  | bus | le | 0 |
|  | bus | chien | 0 |
|  | bus | chat | 0 |
|  | bus | 1 ' | 0 |
|  | bus | autobus | 1 |


|  | $e$ | $f$ | T $(f$ | e) |
| :---: | :---: | :---: | :---: | :---: |
|  | the | le | 0 |  |
|  | the | chien | 0.4 |  |
|  | the | chat | 0.3 |  |
|  | the | l' | 0 |  |
|  | the | autobus | 0.3 |  |
|  | dog | le | 0.5 |  |
|  | dog | chien | 0.5 |  |
|  | dog | chat | 0 |  |
|  | dog | $1 '$ | 0 |  |
| Model 2 has several local maxima - bad one: | dog | autobus | 0 |  |
|  | cat | le | 0.5 |  |
|  | cat | chien | 0 |  |
|  | cat | chat | 0.5 |  |
|  | cat |  | 0 |  |
|  | cat | autobus | 0 |  |
|  | bus | le | 0 |  |
|  | bus | chien | 0 |  |
|  | bus | chat | 0 |  |
|  | bus | $1 '$ | 0.5 |  |
|  | bus | autobus | 0.5 |  |


| $e$ | $f$ | $\mathrm{~T}(f \mid e)$ |
| :--- | :--- | :--- | :--- |
| the | le | 0 |
| the | chien | 0.33 |
| the | chat | 0.33 |
| the | $l^{\prime}$ | 0 |
| the | autobus | 0.33 |
| dog | le | 1 |
| dog | chien | 0 |
| dog | chat | 0 |
| dog | l' | 0 |
| dog | autobus | 0 |
| cat | le | 1 |
| cat | chien | 0 |
| cat | chat | 0 |
| cat | l' | 0 |
| cat | autobus | 0 |
| bus | le | 0 |
| bus | chien | 0 |
| bus | chat | 0 |
| bus | l' | 1 |
| bus | autobus | 0 |

- Alignment parameters for good solution:

$$
\begin{aligned}
& \mathrm{T}(i=1 \mid j=1, l=2, m=2)=1 \\
& \mathrm{~T}(i=2 \mid j=1, l=2, m=2)=0 \\
& \mathrm{~T}(i=1 \mid j=2, l=2, m=2)=0 \\
& \mathrm{~T}(i=2 \mid j=2, l=2, m=2)=1
\end{aligned}
$$

$\log$ probability $=-1.91$

- Alignment parameters for first bad solution:

$$
\begin{aligned}
\mathrm{T}(i=1 \mid j=1, l=2, m=2) & =0 \\
\mathrm{~T}(i=2 \mid j=1, l=2, m=2) & =1 \\
\mathrm{~T}(i=1 \mid j=2, l=2, m=2) & =0 \\
\mathrm{~T}(i=2 \mid j=2, l=2, m=2) & =1
\end{aligned}
$$

$\log$ probability $=-4.16$

- Alignment parameters for second bad solution:

$$
\begin{aligned}
\mathrm{T}(i=1 \mid j=1, l=2, m=2) & =0 \\
\mathrm{~T}(i=2 \mid j=1, l=2, m=2) & =1 \\
\mathrm{~T}(i=1 \mid j=2, l=2, m=2) & =1 \\
\mathrm{~T}(i=2 \mid j=2, l=2, m=2) & =0
\end{aligned}
$$

$\log$ probability $=-3.30$

## Improving the Convergence Properties of Model 2

- Out of 100 random starts, only 60 converged to the best local maxima
- Model 1 converges to the same, global maximum every time (see the Brown et. al paper)
- Method in IBM paper: run Model 1 to estimate T parameters, then use these as the initial parameters for Model 2
- In 100 tests using this method, Model 2 converged to the correct point every time.


## Overview

- The Structure of IBM Models 1 and 2
- EM Training of Models 1 and 2
- Some examples of training Models 1 and 2
- Decoding


## Decoding

- Problem: for a given French sentence f, fi nd

$$
\operatorname{argmax}_{\mathbf{e}} P(\mathbf{e}) P(\mathbf{f} \mid \mathbf{e})
$$

or the "Viterbi approaximation"

$$
\operatorname{argmax}_{\mathbf{e}, \mathbf{a}} P(\mathbf{e}) P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})
$$

## Decoding

- Decoding is NP-complete (see (Knight, 1999))
- IBM papers describe a stack-decoding or $A^{*}$ search method
- A recent paper on decoding:

Fast Decoding and Optimal Decoding for Machine Translation. Germann, Jahr, Knight, Marcu, Yamada. In ACL 2001.

- Introduces a greedy search method
- Compares the two methods to exact (integer-programming) solution


## First Stage of the Greedy Method

- For each French word $f_{j}$, pick the English word $e$ which maximizes

$$
\mathrm{T}\left(e \mid f_{j}\right)
$$

(an inverse translation table $\mathrm{T}(e \mid f)$ is required for this step)

- This gives us an initial alignment, e.g.,

| Bien intendu , il parle de | une belle | victoire |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Well heard , it talking NULL a | beautiful victory |  |

(Correct translation: quite naturally, he talks about a great victory)

## Next Stage: Greedy Search

- First stage gives us an initial $\left(\mathbf{e}^{0}, \mathbf{a}^{0}\right)$ pair
- Basic idea: defi ne a set of local transformations that map an (e, a) pair to a new ( $\mathbf{e}^{\prime}, \mathbf{a}^{\prime}$ ) pair
- Say $\Pi(\mathbf{e}, \mathbf{a})$ is the set of all $\left(\mathbf{e}^{\prime}, \mathbf{a}^{\prime}\right)$ reachable from $(\mathbf{e}, \mathbf{a})$ by some transformation, then at each iteration take

$$
\left(\mathbf{e}^{t}, \mathbf{a}^{t}\right)=\operatorname{argmax}_{(\mathbf{e}, \mathbf{a}) \in \Pi\left(\mathbf{e}^{t-1}, \mathbf{a}^{t-1}\right)} P(\mathbf{e}) P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})
$$

i.e., take the highest probability output from results of all transformations

- Basic idea: iterate this process until convergence


## The Space of Transforms

- CHANGE $(j, e)$ : Changes translation of $f_{j}$ from $e_{a_{j}}$ into $e$
- Two possible cases (take $e_{\text {old }}=e_{a_{j}}$ ):
- $e_{\text {old }}$ is aligned to more than 1 word, or $e_{\text {old }}=N U L L$ Place $e$ at position in string that maximizes the alignment probability
- $e_{\text {old }}$ is aligned to exactly one word In this case, simply replace $e_{\text {old }}$ with $e$
- Typically consider only $(e, f)$ pairs such that $e$ is in top 10 ranked translations for $f$ under $\mathrm{T}(e \mid f)$ (an inverse table of probabilities $\mathrm{T}(e \mid f)$ is required - this is described in Germann 2003)


## The Space of Transforms

- CHANGE2 $(j 1, e 1, j 2, e 2)$ : Changes translation of $f_{j 1}$ from $e_{a_{j 1}}$ into $e 1$, and changes translation of $f_{j 2}$ from $e_{a_{j 2}}$ into $e 2$
- Just like performing CHANGE $(j 1, e 1)$ and $\operatorname{CHANGE}(j 2, e 2)$ in sequence


## The Space of Transforms

- TranslateAndInsert $(j, e 1, e 2)$ : Implements CHANGE $(j, e 1)$,
(i.e. Changes translation of $f_{j}$ from $e_{a_{j}}$ into $e 1$ ) and inserts $e_{2}$ at most likely point in the string
- Typically, $e_{2}$ is chosen from the English words which have high probability of being aligned to 0 French words


## The Space of Transforms

- RemoveFertilityZero $(i)$ :

Removes $e_{i}$, providing that $e_{i}$ is aligned to nothing in the alignment

## The Space of Transforms

- SwapSegments $(i 1, i 2, j 1, j 2)$ :

Swaps words $e_{i 1} \ldots e_{i 2}$ with words $e_{j 1}$ and $e_{j 2}$

- Note: the two segments cannot overlap


## The Space of Transforms

- JoinWords $(i 1, i 2)$ :

Deletes English word at position $i 1$, and links all French words that were linked to $e_{i 1}$ to $e_{i 2}$

## An Example from Germann et. al 2001

Bien intendu , il parle de une belle victoire
Well heard , it talking NULL a beautiful victory $\Downarrow$

Bien intendu , il parle de une belle victoire
Well heard , it talks NULL a great victory

CHANGE2(5, talks, 8, great)

## An Example from Germann et. al 2001

Bien intendu , il parle de une belle victoire
Well heard , it talks NULL a great victory $\Downarrow$

Bien intendu , il parle de une belle victoire
Well understood , it talks about a great victory

CHANGE2(2, understood, 6, about)

## An Example from Germann et. al 2001

Bien intendu , il parle de une belle victoire
Well understood , it talks about a great victory


Bien intendu , il parle de une belle victoire
Well understood , he talks about a great victory

## CHANGE (4, he)

## An Example from Germann et. al 2001

Bien intendu , il parle de une belle victoire
Well understood , he talks about a great victory $\Downarrow$

Bien intendu , il parle de une belle victoire
quite naturally , he talks about a great victory

CHANGE2(1, quite, 2, naturally)

## An Exact Method Based on Integer Programming

## Method from Germann et. al 2001:

- Integer programming problems

$$
\begin{aligned}
& 3.2 x_{1}+4.7 x_{2}-2.1 x_{3} \quad \text { Minimize objective function } \\
& x_{1}-2.6 x_{3}>5 \\
& 7.3 x_{2}>7
\end{aligned}
$$

- Generalization of travelling salesman problem:

Each town has a number of hotels; some hotels can be in multiple towns. Find the lowest cost tour of hotels such that each town is visited exactly once.

## - In the MT problem:

- Each city is a French word (all cities visited $\Rightarrow$ all French words must be accounted for)
- Each hotel is an English word matched with one or more French words
- The "cost" of moving from hotel $i$ to hotel $j$ is a sum of a number of terms. E.g., the cost of choosing "not" after "what", and aligning it with "ne" and "pas" is

$$
\begin{aligned}
& \log (\operatorname{bigram}(\text { not } \mid \text { what })+ \\
& \log (\mathbb{T}(\text { ne } \mid \text { not })+\log (\mathbb{T}(\text { pas } \mid \text { not }))
\end{aligned}
$$

## An Exact Method Based on Integer Programming

- Say distance between hotels $i$ and $j$ is $d_{i j}$; Introduce $x_{i j}$ variables where $x_{i j}=1$ if path from hotel $i$ to hotel $j$ is taken, zero otherwise
- Objective function: maximize

$$
\sum_{i, j} x_{i j} d_{i j}
$$

- All cities must be visited once $\Rightarrow$ constraints

$$
\forall \mathrm{c} \in \mathrm{cities} \sum_{\text {i located in } \mathrm{c}} \sum_{j} x_{i j}=1
$$

- Every hotel must have equal number of incoming and outgoing edges $\Rightarrow$

$$
\forall i \sum_{j} x_{i j}=\sum_{j} x_{j i}
$$

- Another constraint is added to ensure that the tour is fully connected

