

## 12 The different and the discriminant

### 12.1 The different

We continue in our usual *AKLB* setup:  $A$  is a Dedekind domain,  $K$  is its fraction field,  $L/K$  is a finite separable extension, and  $B$  is the integral closure of  $A$  in  $L$  (a Dedekind domain with fraction field  $L$ ). We would like to understand the primes that ramify in  $L/K$ . Recall that a prime  $\mathfrak{q}|\mathfrak{p}$  of  $L$  is unramified if and only if the residue field  $B/\mathfrak{q}$  is a finite étale  $A/\mathfrak{p}$ -algebra; this is equivalent to requiring  $v_{\mathfrak{q}}(\mathfrak{p}B) = 1$  with  $B/\mathfrak{q}$  a separable extension of  $A/\mathfrak{p}$ . A prime  $\mathfrak{p}$  of  $K$  is unramified if and only if all the primes  $\mathfrak{q}|\mathfrak{p}$  lying above it are unramified.<sup>1</sup>

Our main tools for doing are the *different ideal*  $\mathcal{D}_{B/A}$  and the *discriminant ideal*  $D_{B/A}$ . The different ideal is an ideal of  $B$  and the discriminant ideal is an ideal of  $A$  (the norm of the different ideal, in fact). We will show that the primes of  $B$  that ramify are exactly those that divide  $\mathcal{D}_{B/A}$ , the primes of  $A$  that ramify are exactly those that divide  $D_{B/A}$ . Moreover, the valuation  $v_{\mathfrak{q}}(\mathcal{D}_{B/A})$  will give us information about the ramification index  $e_{\mathfrak{q}}$  (its exact value in the tamely ramified case). We could just define  $\mathcal{D}_{B/A}$  and  $D_{B/A}$  to have the properties we want, but the key is to define them in an intrinsic way that makes it possible to compute them without knowing which primes ramify; indeed, their main purpose is to allow us to determine these primes.

Recall from Lecture 5 the trace pairing  $L \times L \rightarrow K$  defined by  $(x, y) \mapsto T_{L/K}(xy)$ ; under our assumption that  $L/K$  is separable, it is a perfect pairing (see Proposition 5.18). An  $A$ -lattice  $M$  in  $L$  is a finitely generated  $A$ -module that spans  $L$  as a  $K$ -vector space (see Definition 5.8). Associated to any  $A$ -lattice  $M$  is its *dual lattice* (with respect to the trace pairing), which is defined by

$$M^* := \{x \in L : T_{L/K}(xm) \in A \forall m \in M\}$$

(see Definition 5.10); it is an  $A$ -lattice isomorphic to the dual module  $M^{\vee} := \text{Hom}_A(M, A)$  (see Theorem 5.11), and in our *AKLB* setting we have  $M^{**} = M$  (see Proposition 5.14).

Every fractional ideal  $I$  of  $B$  is finitely generated as a  $B$ -module, and therefore finitely generated as an  $A$  module (since  $B$  is finite over  $A$ ). If  $I$  is nonzero, it spans  $L$  (if  $e_1, \dots, e_n$  is a  $K$ -basis for  $L$  in  $B$  and  $a \in I$  is nonzero then  $ae_1, \dots, ae_n$  is a  $K$ -basis for  $L$  in  $I$ ). It follows that every element of the group  $\mathcal{I}_B$  of nonzero fractional ideals of  $B$  is an  $A$ -lattice in  $L$ . We now show that  $\mathcal{I}_B$  is closed under the operation of taking duals.

**Lemma 12.1.** *Assume  $AKLB$  and let  $I \in \mathcal{I}_B$ . Then  $I^* \in \mathcal{I}_B$ .*

*Proof.* As noted above,  $I$  is an  $A$ -lattice in  $L$ , as is its dual lattice  $I^*$  which is a nonzero finitely generated  $A$ -module; if  $I^*$  is a  $B$ -module then it is certainly finitely generated, hence a fractional ideal of  $B$ . Thus to show  $I^* \in \mathcal{I}_B$  we just need to show that  $I^*$  is a  $B$ -module. For any  $b \in B$  and  $x \in I^* \subseteq L$  the product  $bx$  lies in  $L$ , we just need to check that it lies in  $I^*$ . For any  $m \in I$  we have  $bm \in I$ , since  $I$  is a  $B$ -module, and  $T_{L/K}(x(bm)) \in A$ , by the definition of  $I^*$ . Thus  $T_{L/K}((bx)m) = T_{L/K}(x(bm)) \in A$  so  $bx \in I^*$ .  $\square$

<sup>1</sup>As usual, by a *prime* of  $A$  or  $K$  (resp.,  $B$  or  $L$ ) we mean a nonzero prime ideal of  $A$  (resp.,  $B$ ). In our *AKLB* setting the notation  $\mathfrak{q}|\mathfrak{p}$  means that  $\mathfrak{q}$  is a prime of  $B$  lying above  $\mathfrak{p}$  (so  $\mathfrak{p} = \mathfrak{q} \cap A$  and  $\mathfrak{q}$  divides  $\mathfrak{p}B$ ).

**Definition 12.2.** Assume  $AKLB$ . The *different ideal* is the inverse of  $B^*$  in  $\mathcal{I}_B$ . That is,

$$B^* := \{x \in L : T_{L/K}(xb) \in A \text{ for all } b \in B\},$$

$$\mathcal{D}_{B/A} := (B^*)^{-1} = (B : B^*) = \{x \in L : xB^* \subseteq B\}.$$

Note that  $B \subseteq B^*$ , since  $T_{L/K}(ab) = T_{L/K}(b) \in A$  for all  $a, b \in B$ , and this implies  $(B^*)^{-1} \subseteq B^{-1} = B$ ; so  $\mathcal{D}_{B/A}$  is an ideal, not just a fractional ideal.

We now show that the different respects localization and completion.

**Proposition 12.3.** Assume  $AKLB$  and let  $S$  be a multiplicative subset of  $A$ . Then

$$S^{-1}\mathcal{D}_{B/A} = \mathcal{D}_{S^{-1}B/S^{-1}A}.$$

*Proof.* This follows the fact that inverses and duals are both compatible with localization; see Lemmas 3.13 and 5.13. Note that a multiplicative subset of  $A$  is also a multiplicative subset of  $B$  and the localization of a  $B$ -module with respect to  $S$  is the same as its localization as an  $A$ -module with respect to  $S$ .  $\square$

**Proposition 12.4.** Assume  $AKLB$  and let  $\mathfrak{q}|\mathfrak{p}$  be a prime of  $B$ . Then

$$\mathcal{D}_{\hat{B}_{\mathfrak{q}}/\hat{A}_{\mathfrak{p}}} = \mathcal{D}_{B/A}\hat{B}_{\mathfrak{q}}.$$

*Proof.* We can assume without loss of generality that  $A$  is a DVR by localizing at  $\mathfrak{p}$ . Let  $\hat{L} := L \otimes \hat{K}$ . By (5) of Theorem 11.20, we have  $\hat{L} = \prod_{\mathfrak{q}|\mathfrak{p}} \hat{L}_{\mathfrak{q}}$ . This is not a field, in general, but  $T_{\hat{L}/\hat{K}}$  is defined as for any ring extension, and we have  $T_{\hat{L}/\hat{K}}(x) = \sum_{\mathfrak{q}|\mathfrak{p}} T_{\hat{L}_{\mathfrak{q}}/\hat{K}}(x)$ .

Now let  $\hat{B} := B \otimes \hat{A}$ . By Corollary 11.23,  $\hat{B} = \prod_{\mathfrak{q}|\mathfrak{p}} \hat{B}_{\mathfrak{q}}$ , and therefore  $\hat{B}^* \simeq \prod_{\mathfrak{q}|\mathfrak{p}} \hat{B}_{\mathfrak{q}}^*$  (since the trace is just a sum of traces). It follows that  $\hat{B}^* \simeq B^* \otimes_A \hat{A}$ . Thus  $B^*$  generates the fractional ideal  $\hat{B}_{\mathfrak{q}}^* \in \mathcal{I}_{\hat{B}_{\mathfrak{q}}}$ . Taking inverses,  $\mathcal{D}_{B/A} = (B^*)^{-1}$  generates  $(\hat{B}_{\mathfrak{q}}^*)^{-1} = \mathcal{D}_{\hat{B}_{\mathfrak{q}}/\hat{A}}$ .  $\square$

## 12.2 The discriminant

**Definition 12.5.** Let  $S/R$  be a ring extension with  $S$  free as an  $R$ -module. For any  $x_1, \dots, x_n \in S$  we define the *discriminant*

$$\text{disc}(x_1, \dots, x_n) := \det[T_{S/R}(x_i x_j)]_{i,j} \in R.$$

(note that the  $e_1, \dots, e_n$  may be any elements of  $S$ , they need not be an  $R$ -basis).

In our  $AKLB$  setup, we have in mind the case where  $e_1, \dots, e_n \in B$  is a basis for  $L$  as a  $K$ -vector space, in which case  $\text{disc}(e_1, \dots, e_n) = \det[T_{L/K}(e_i e_j)]_{i,j} \in A$ . Note that we are not assuming  $B$  is a free  $A$ -module, but  $L$  is certainly a free  $K$ -module, so we can compute the discriminant of any set of elements of  $L$  (including elements of  $B$ ).

**Proposition 12.6.** Let  $L/K$  be a finite separable extension of degree  $n$ , and let  $\Omega/K$  be a field extension for which there are distinct  $\sigma_1, \dots, \sigma_n \in \text{Hom}_K(L, \Omega)$ . For any  $e_1, \dots, e_n \in L$

$$\text{disc}(e_1, \dots, e_n) = (\det[\sigma_i(e_j)]_{i,j})^2,$$

and for any  $x \in L$  we have

$$\text{disc}(1, x, x^2, \dots, x^{n-1}) = \prod_{i < j} (\sigma_i(x) - \sigma_j(x))^2.$$

Note that such an  $\Omega$  exists, since  $L/K$  is separable (we can take a normal closure).

*Proof.* For  $1 \leq i, j \leq n$  we have  $T_{L/K}(e_i e_j) = \sum_{k=1}^n \sigma_k(e_i e_j)$ , by Theorem 4.46. Therefore

$$\begin{aligned} \text{disc}(e_1, \dots, e_n) &= \det[T_{L/K}(e_i e_j)]_{ij} \\ &= \det([\sigma_k(e_i)]_{ik} [\sigma_k(e_j)]_{kj}) \\ &= \det([\sigma_k(e_i)]_{ik} [\sigma_k(e_j)]_{jk}^t) \\ &= (\det[\sigma_i(e_j)]_{ij})^2 \end{aligned}$$

since the determinant is multiplicative and  $\det M = \det M^t$  for any matrix  $M$ .

Now let  $x \in L$  and put  $e_i := x^{i-1}$  for  $1 \leq i \leq n$ . Then

$$\text{disc}(1, x, x^2, \dots, x^{n-1}) = (\det[\sigma_i(x^{j-1})]_{ij})^2 = \prod_{i < j} (\sigma_i(x) - \sigma_j(x))^2,$$

since  $[\sigma_i(x^{j-1})]_{ij}$  is a Vandermonde matrix. □

**Definition 12.7.** For a polynomial  $f(x) = \prod_i (x - \alpha_i)$ , the *discriminant* of  $f$  is

$$\text{disc}(f) := \prod_{i < j} (\alpha_i - \alpha_j)^2.$$

Equivalently, if  $A$  is a Dedekind domain,  $f \in A[x]$  is a monic separable polynomial, and  $\alpha$  is the image of  $x$  in  $A[x]/(f(x))$ , then

$$\text{disc}(f) = \text{disc}(1, \alpha, \alpha^2, \dots, \alpha^{n-1}) \in A.$$

**Example 12.8.**  $\text{disc}(x^2 + bx + c) = b^2 - 4c$  and  $\text{disc}(x^3 + ax + b) = -4a^3 - 27b^2$ .

Now assume  $AKLB$  and let  $M$  be an  $A$ -lattice in  $L$ . Then  $M$  is a finitely generated  $A$ -module that contains a basis for  $L$  as a  $K$ -vector space, but we would like to define the discriminant of  $M$  in a way that does not require us to choose a basis.

Let us first consider the case where  $M$  is a free  $A$ -lattice. If  $e_1, \dots, e_n \in M \subseteq L$  and  $e'_1, \dots, e'_n \in M \subseteq L$  are two bases for  $M$ , then

$$\text{disc}(e'_1, \dots, e'_n) = u^2 \text{disc}(e_1, \dots, e_n)$$

for some unit  $u \in A^\times$ ; this follows from the fact that the change of basis matrix  $P \in A^{n \times n}$  is invertible and its determinant is therefore a unit  $u$ . This unit gets squared because we need to apply the change of basis twice in order to change  $T(e_i e_j)$  to  $T(e'_i e'_j)$ . Explicitly, writing bases as row-vectors, let  $e = (e_1, \dots, e_n)$ ,  $e' = (e'_1, \dots, e'_n)$  with  $e' = eP$ . Then

$$\begin{aligned} \text{disc}(e') &= \det[T_{L/K}(e'_i e'_j)]_{ij} \\ &= \det[T_{L/K}((eP)_i (eP)_j)]_{ij} \\ &= \det[P^t T_{L/K}(e_i e_j) P]_{ij} \\ &= (\det P^t) \text{disc}(e) (\det P) \\ &= (\det P)^2 \text{disc}(e), \end{aligned}$$

where we have used the fact that  $T_{L/K}$  is  $K$ -linear, the determinant is multiplicative, and  $\det P^t = \det P$ .

This actually gives us an unambiguous definition when  $A = \mathbb{Z}$ : the only units in  $\mathbb{Z}$  are  $u = \pm 1$ , so we always have  $u^2 = 1$  and discriminant of every basis is the same. In general we want to take the principal fractional ideal of  $A$  generated by  $\text{disc}(e_1, \dots, e_n)$ , which does not depend on the choice of basis (multiplying a fractional ideal by a unit does nothing).

**Definition 12.9.** Assume  $AKLB$  and let  $M$  be an  $A$ -lattice in  $L$ . The *discriminant*  $D(M)$  of  $M$  is the  $A$ -submodule of  $K$  generated by  $\{\text{disc}(e_1, \dots, e_n) : e_1, \dots, e_n \in M\}$ .

When  $M$  is free,  $D(M)$  is the principal fractional ideal generated by  $\text{disc}(e_1, \dots, e_n)$ , where  $e := e_1, \dots, e_n$  is any  $A$ -basis for  $M$ . Given any  $n$ -tuple  $e' = (e'_1, \dots, e'_n)$  of elements in  $M$ , if we view  $e$  and  $e'$  as row vectors we can write  $e' = eP$  for some (not necessarily invertible) matrix  $P \in A^{n \times n}$ , and we always have  $\text{disc}(e') = (\det P)^2 \text{disc}(e) \in (\text{disc}(e))$ .

**Lemma 12.10.** Assume  $AKLB$  and let  $M' \subseteq M$  be free  $A$ -lattices in  $L$ . If  $D(M') = D(M)$  then  $M' = M$ .

*Proof.* Fix  $A$ -bases  $e$  and  $e'$  for  $M$  and  $M'$ . Then  $e' = eP$  for some  $P \in A^{n \times n}$ , and we have

$$D(M') = (\text{disc}(e')) = (\text{disc}(eP)) = ((\det P)^2 \text{disc}(e)) = (\det P)^2 D(M),$$

as fractional ideals of  $A$ . The fact that  $e$  is a basis for  $L$  and the trace pairing is nondegenerate guarantees that  $\text{disc}(e) \neq 0$ . Now  $A$  is a Dedekind domain, so if  $D(M') = D(M)$  then  $(\det P)$  must be the unit ideal (multiply both sides by  $D(M)^{-1}$ ), and  $\det P$  must be a unit, which implies  $P$  is invertible. We then have  $e = e'P^{-1}$ , thus  $M \subseteq M'$  and  $M' = M$ .  $\square$

**Proposition 12.11.** Assume  $AKLB$  and let  $M$  be an  $A$ -lattice in  $L$ . Then  $D(M) \in \mathcal{I}_A$ .

*Proof.* The  $A$ -module  $D(M) \subseteq K$  is nonzero because  $M$  contains a  $K$ -basis  $e = (e_1, \dots, e_n)$  for  $L$  and  $\text{disc}(e) \neq 0$  because the trace pairing is nondegenerate. To show that  $D(M)$  is a finitely generated as an  $A$ -module we use the usual trick: show that it is a submodule of a noetherian module. Let  $N$  be the free  $A$ -lattice in  $L$  generated by  $e$ . The  $A$ -lattice  $M$  is finitely generated, so we can pick a nonzero  $a \in A$  such that  $M \subseteq a^{-1}N$ : write each generator for  $M$  in terms of the  $K$ -basis  $e$  and let  $a$  be the product of all the denominators that appear. We then have  $D(M) \subseteq D(a^{-1}N)$ , and since  $a^{-1}N$  is a free  $A$ -lattice,  $D(a^{-1}N)$  is a principal fractional ideal of  $A$ , hence a noetherian  $A$ -module (since  $A$  is noetherian), and this implies that the  $A$ -submodule  $D(M)$  is finitely generated.  $\square$

**Definition 12.12.** Assume  $AKLB$ . The *discriminant* of  $L/K$  is the discriminant of  $B$  as an  $A$ -module:

$$D_{L/K} := D_{B/A} := D(B) \in \mathcal{I}_A.$$

**Example 12.13.** Consider the case  $A = \mathbb{Z}$ ,  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(i)$ ,  $B = \mathbb{Z}[i]$ . Then  $B$  is a free  $A$ -lattice with basis  $(1, i)$  and we can compute  $D_{L/K}$  in three ways:

- $\text{disc}(1, i) = \det \begin{bmatrix} \text{T}_{L/K}(1 \cdot 1) & \text{T}_{L/K}(1 \cdot i) \\ \text{T}_{L/K}(i \cdot 1) & \text{T}_{L/K}(i \cdot i) \end{bmatrix} = \det \begin{bmatrix} 2 & 0 \\ 0 & -2 \end{bmatrix} = -4.$
- The non-trivial automorphism of  $L/K$  fixes 1 and sends  $i$  to  $-i$ , so we could instead compute  $\text{disc}(1, i) = \left( \det \begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix} \right)^2 = (-2i)^2 = -4.$
- We have  $B = \mathbb{Z}[i] = \mathbb{Z}[x]/(x^2 + 1)$  and can compute  $\text{disc}(x^2 + 1) = -4.$

In every case the discriminant ideal  $D_{L/K}$  is  $(-4) = (4)$ .

**Remark 12.14.** If  $A = \mathbb{Z}$  then  $B$  is the ring of integers of the number field  $L$ , and  $B$  is a free  $A$ -lattice, because it is a torsion-free module over a PID and therefore a free module. In this situation it is customary to define the *absolute discriminant*  $D_L$  of the number field  $L$  to be the *integer*  $\text{disc}(e_1, \dots, e_n) \in \mathbb{Z}$ , for any basis  $(e_1, \dots, e_n)$  of  $B$ , rather than the ideal it generates. As noted above, this integer is independent of the choice of basis because  $u^2 = 1$  for any  $u \in \mathbb{Z}^\times$ ; in particular, the sign of  $D_L$  is well defined. In the example above, the absolute discriminant is  $D_L = -4$  (not 4).

We now show that the discriminant respects localization.

**Proposition 12.15.** *Assume AKLB and let  $S$  be a multiplicative subset of  $A$ . Then  $S^{-1}D_{B/A} = D_{S^{-1}B/S^{-1}A}$ .*

*Proof.* Let  $x = s^{-1} \text{disc}(e_1, \dots, e_n) \in S^{-1}D_{B/A}$  for some  $s \in S$  and  $e_1, \dots, e_n \in B$ . Then  $x = s^{2n-1} \text{disc}(s^{-1}e_1, \dots, s^{-1}e_n)$  lies in  $D_{S^{-1}B/S^{-1}A}$ . This proves the forward inclusion.

Conversely, for any  $e_1, \dots, e_n \in S^{-1}B$  we can choose a single  $s \in S \subseteq A$  so that each  $se_i$  lies in  $B$ . We then have  $\text{disc}(e_1, \dots, e_n) = s^{-2n} \text{disc}(se_1, \dots, se_n) \in S^{-1}D_{B/A}$ , which proves the reverse inclusion.  $\square$

We have now defined two different ideals associated to a finite separable extension of Dedekind domains  $B/A$  in the AKLB setup. We have the different  $\mathcal{D}_{B/A}$ , which is a fractional ideal of  $B$ , and the discriminant  $D_{B/A}$ , which is a fractional ideal of  $A$ . We now relate these two ideals in terms of the ideal norm  $N_{B/A}: \mathcal{I}_B \rightarrow \mathcal{I}_A$ , which for  $I \in \mathcal{I}_B$  is defined as  $N_{B/A}(I) := (B : I)_A$ , where  $(B : I)_A$  is the module index (see Definitions 6.1 and 6.4). We recall that  $N_{B/A}(I)$  is also equal to the ideal generated by the image of  $I$  under the field norm  $N_{L/K}$ ; see Corollary 6.8.

**Theorem 12.16.** *Assume AKLB. Then  $D_{B/A} = N_{B/A}(\mathcal{D}_{B/A})$ .*

*Proof.* The different and discriminant are both compatible with localization, by Propositions 12.3 and 12.15, and the fractional ideals  $D_{B/A}$  and  $N_{B/A}(\mathcal{D}_{B/A})$  of  $A$  are both determined by the intersections of their localizations at prime ideals (Proposition 2.7), so it suffices to prove that the theorem holds when  $A = A_{\mathfrak{p}}$  is a DVR, and in particular a PID (here we are using the fact that  $A$  is a Dedekind domain). In this case  $B$  is a free  $A$ -lattice in  $L$ , and we can choose a basis  $(e_1, \dots, e_n)$  for  $B$  as an  $A$ -module. The dual  $A$ -lattice

$$B^* = \{x \in L : T_{L/K}(xb) \in A \forall b \in B\} \in \mathcal{I}_B$$

is also a free  $A$ -module, with basis  $(e_1^*, \dots, e_n^*)$  uniquely determined by  $T_{L/K}(e_i^*e_j) = \delta_{ij}$ . If we write  $e_i = \sum a_{ij}e_j^*$  in terms of the  $K$ -basis  $(e_1^*, \dots, e_n^*)$  for  $L$  then

$$T_{L/K}(e_i e_j) = T_{L/K} \left( \sum_k a_{ik} e_k^* e_j \right) = \sum_k a_{ik} T_{L/K}(e_k^* e_j) = \sum_k a_{ik} \delta_{kj} = a_{ij},$$

so  $P := [T_{L/K}(e_i e_j)]_{ij}$  is the change-of-basis matrix from  $e^* := (e_1^*, \dots, e_n^*)$  to  $e := (e_1, \dots, e_n)$  (as row vectors we have  $e = e^* P$ ). If we let  $\phi: B^* \rightarrow B$  denote the linear transformation with matrix  $P$ , then  $\phi$  is an isomorphism of free  $A$ -modules and

$$D_{B/A} = (\det[T_{L/K}(e_i e_j)]_{ij}) = (\det \phi) = [B^* : B]_A,$$

where  $[B^* : B]_A$  is the module index (see Definition 6.1). Applying Corollary 6.7 yields

$$D_{B/A} = [B^* : B]_A = N_{B/A}((B^*)^{-1}B) = N_{B/A}((B^*)^{-1}) = N_{B/A}(\mathcal{D}_{B/A}). \quad \square$$

**Corollary 12.17.** *Assume AKLB. The discriminant  $D_{B/A}$  is an  $A$ -ideal.*

*Proof.* The different  $\mathcal{D}_{B/A}$  is a  $B$ -ideal, and the field norm  $N_{L/K}$  sends elements of  $B$  to  $A$ ; it follows that  $D_{B/A} = N_{B/A}(\mathcal{D}_{B/A}) = (\{N_{L/K}(x) : x \in \mathcal{D}_{B/A}\})$  is an  $A$ -ideal.  $\square$

### 12.3 Ramification

Having defined the different and discriminant ideals we now consider what they can tell us about ramification. Recall that in our *AKLB* setup, if  $\mathfrak{p}$  is a prime of  $A$  with

$$\mathfrak{p}B = \prod \mathfrak{q}_i^{e_i},$$

each prime  $\mathfrak{q}_i$  is unramified if and only if  $e_i = 1$  and the residue field  $B/\mathfrak{q}_i$  is a separable extension of  $A/\mathfrak{p}$ , and  $\mathfrak{p}$  is unramified if and only if all the  $\mathfrak{q}_i$  are unramified. As noted in Definition 5.33, an equivalent definition is that  $B/\mathfrak{p}B$  is a finite étale  $A/\mathfrak{p}$ -algebra (a finite product of finite separable extensions of  $A/\mathfrak{p}$ ). To see this, note that the Chinese remainder theorem implies

$$B/\mathfrak{p}B \simeq B/\mathfrak{q}_1^{e_1} \times \cdots \times B/\mathfrak{q}_r^{e_r},$$

and if any  $e_i > 1$  then  $B/\mathfrak{p}B$  contains a nonzero nilpotent element (take a uniformizer for  $\mathfrak{q}_i$ ). In this case  $B/\mathfrak{p}B$  cannot be étale, since a product of fields has no nonzero nilpotents. If every  $e_i = 1$ , then  $B/\mathfrak{p}B$  is isomorphic to the product of the residue fields  $B/\mathfrak{q}_i$ , each of which is a finite extension of  $A/\mathfrak{p}$ . In this case  $B/\mathfrak{p}B$  is étale if and only if these extensions are all separable, equivalently, if and only if all the  $\mathfrak{q}_i$  are unramified.

We now relate the property of being finite étale to the discriminant.

**Lemma 12.18.** *Let  $k$  be a field and let  $R$  be a commutative  $k$ -algebra that is a finite dimensional  $k$ -vector space with basis  $r_1, \dots, r_n$ . Then  $R$  is a finite étale  $k$ -algebra if and only if  $\text{disc}(r_1, \dots, r_n) = \det[\text{T}_{R/k}(r_i r_j)]_{ij} \neq 0$ .*

*Proof.* We first note that the choice of basis is immaterial, changing the basis will not change whether the discriminant is zero or nonzero.

Suppose  $R$  contains a nonzero nilpotent  $r$  (so  $r^m = 0$  for some  $m > 1$ ). In this case  $R$  cannot be finite étale, and we can extend  $\{r\}$  to a basis, so we may assume  $r_1 = r$  is nilpotent. Every multiple of  $r_1$  is also nilpotent, and it follows that the first row of the matrix  $[\text{T}_{R/k}(r_i r_j)]_{ij}$  is zero, since the trace of any nilpotent element  $s$  is zero (the eigenvalues of the multiplication-by- $s$  map must all be zero). Therefore  $\text{disc}(r_1, \dots, r_n) = \det[\text{T}_{R/k}(r_i r_j)]_{ij} = 0$ .

Suppose  $R$  contains no nonzero nilpotents. Then  $R$  is isomorphic to a product of fields, each of which is a finite extension of  $k$  (this is a standard result of commutative algebra which follows, for example, from Lemmas 10.52.2-5 of [3]). Without loss of generality we can assume our basis contains  $k$ -bases for each of these field extensions, grouped together so that the matrix  $[\text{T}_{R/k}(r_i r_j)]_{ij}$  is block diagonal. The determinant is then nonzero if and only if the determinant of each block is nonzero, so we can reduce to the case where  $R/k$  is a field extension. The proof then follows from the fact that the trace pairing  $\text{T}_{R/k}$  is nondegenerate if and only if  $R/k$  is separable (see Proposition 5.18).  $\square$

**Theorem 12.19.** *Assume AKLB, let  $\mathfrak{q}$  be a prime of  $B$  lying above a prime  $\mathfrak{p}$  of  $A$ . The extension  $L/K$  is unramified at  $\mathfrak{q}$  if and only if  $\mathfrak{q}$  does not divide  $\mathcal{D}_{B/A}$ , and it is unramified at  $\mathfrak{p}$  if and only if  $\mathfrak{p}$  does not divide  $D_{B/A}$ .*

*Proof.* We first consider the different ideal  $\mathcal{D}_{B/A}$ . By Proposition 12.4, the different is compatible with completion, so it suffices to consider the case that  $A$  and  $B$  are complete DVRs (complete  $K$  at  $\mathfrak{p}$  and  $L$  at  $\mathfrak{q}$  and apply Theorem 11.20). We then have  $[L : K] = e_{\mathfrak{q}}f_{\mathfrak{q}}$ , where  $e_{\mathfrak{q}}$  is the ramification index and  $f_{\mathfrak{q}}$  is the residue field degree, and  $\mathfrak{p}B = \mathfrak{q}^{e_{\mathfrak{q}}}$ .

Since  $B$  is a DVR with maximal ideal  $\mathfrak{q}$ , we must have  $\mathcal{D}_{B/A} = \mathfrak{q}^m$  for some  $m \geq 0$ . By Theorem 12.16 we have

$$D_{B/A} = N_{B/A}(\mathcal{D}_{B/A}) = N_{B/A}(\mathfrak{q}^m) = \mathfrak{p}^{f_{\mathfrak{q}}m}.$$

Thus  $\mathfrak{q} | \mathcal{D}_{B/A}$  if and only if  $\mathfrak{p} | D_{B/A}$ . Since  $A$  is a PID,  $B$  is a free  $A$ -module and we may choose an  $A$ -module basis  $e_1, \dots, e_n$  for  $B$  that is also a  $K$ -vector space for  $L$ . Let  $k := A/\mathfrak{p}$ , and let  $\bar{e}_i$  be the reduction of  $e_i$  to the  $k$ -algebra  $R := B/\mathfrak{p}B$ . Then  $(\bar{e}_1, \dots, \bar{e}_n)$  is a  $k$ -basis for  $R$ : it clearly spans, and we have  $[R : k] = [B/\mathfrak{q}^{e_{\mathfrak{q}}} : A/\mathfrak{p}] = e_{\mathfrak{q}}f_{\mathfrak{q}} = [L : K] = n$ .

Since  $B$  has an  $A$ -module basis, we may compute its discriminant as

$$D_{B/A} = (\text{disc}(e_1, \dots, e_n)).$$

Thus  $\mathfrak{p} | D_{B/A}$  if and only if  $\text{disc}(e_1, \dots, e_n) \in \mathfrak{p}$ , equivalently,  $\text{disc}(\bar{e}_1, \dots, \bar{e}_n) = 0$  (note that  $\text{disc}(e_1, \dots, e_n)$  is a polynomial in the  $T_{L/K}(e_i e_j)$  and  $T_{R/k}(\bar{e}_i \bar{e}_j)$  is the trace of the multiplication-by- $\bar{e}_i \bar{e}_j$  map, which is the same as the reduction to  $k = A/\mathfrak{p}$  of the trace of the multiplication-by- $e_i e_j$  map  $T_{L/K}(e_i e_j) \in A$ ). By Lemma 12.18,  $\text{disc}(\bar{e}_1, \dots, \bar{e}_n) = 0$  if and only if the  $k$ -algebra  $B/\mathfrak{p}B$  is not finite étale, equivalently, if and only if  $\mathfrak{p}$  is ramified. Thus  $\mathfrak{p} | D_{B/A}$  if and only if  $\mathfrak{p}$  is ramified. There is only one prime  $\mathfrak{q}$  above  $\mathfrak{p}$ , so we also have  $\mathfrak{q} | \mathcal{D}_{B/A}$  if and only if  $\mathfrak{q}$  is ramified.  $\square$

We now note an important corollary of Theorem 12.19.

**Corollary 12.20.** *Assume AKLB. Only finitely many primes of  $A$  (or  $B$ ) ramify.*

*Proof.* Both  $A$  and  $B$  are Dedekind domains, so the ideals  $D_{B/A}$  and  $\mathcal{D}_{B/A}$  both have unique factorizations into prime ideals in which only finitely many primes appear.  $\square$

## 12.4 The discriminant of an order

Recall from Lecture 6 that an order  $\mathcal{O}$  is a noetherian domain of dimension one whose conductor is nonzero (see Definitions 6.15 and 6.18), and the integral closure of an order is always a Dedekind domain. In our AKLB setup, the orders with integral closure  $B$  are precisely the  $A$ -lattices in  $L$  that are rings (see Proposition 6.21); if  $L = K(\alpha)$  with  $\alpha \in B$  then  $A[\alpha]$  is an example. The discriminant  $D_{\mathcal{O}/A}$  of such an order  $\mathcal{O}$  is its discriminant  $D(\mathcal{O})$  as an  $A$ -module. The fact that  $\mathcal{O} \subseteq B$  implies that  $D(\mathcal{O}) \subseteq D_{B/A}$  is an  $A$ -ideal.

If  $\mathcal{O}$  is an order of the form  $A[\alpha]$ , where  $\alpha \in B$  generates  $L = K(\alpha)$  with minimal polynomial  $f \in A[x]$ , then  $\mathcal{O}$  is a free  $A$ -lattice with basis  $1, \alpha, \dots, \alpha^{n-1}$ , where  $n = \deg f$ , and we may compute its discriminant as

$$D_{\mathcal{O}/A} = (\text{disc}(1, \alpha, \dots, \alpha^{n-1})) = (\text{disc}(f)),$$

which is a principal  $A$ -ideal contained in  $D_{B/A}$ . If  $B$  is also a free  $A$ -lattice, then as in the proof of Lemma 12.10 we have

$$D_{\mathcal{O}/A} = (\det P)^2 D_{B/A} = [B : \mathcal{O}]_A^2 D_{B/A},$$

where  $P$  is the matrix of the  $A$ -linear map  $\phi: B \rightarrow \mathcal{O}$  that sends an  $A$ -basis for  $B$  to an  $A$ -basis for  $\mathcal{O}$  and  $[B:\mathcal{O}]_A$  is the module index (a principal  $A$ -ideal).

In the important special case where  $A = \mathbb{Z}$  and  $L$  is a number field, the integer  $(\det P)^2$  is uniquely determined and it necessarily divides  $\text{disc}(f)$ , the generator of the principal ideal  $D(\mathcal{O}) = D(A[\alpha])$ . It follows that if  $\text{disc}(f)$  is squarefree then we must have  $B = \mathcal{O} = A[\alpha]$ . More generally, any prime  $p$  for which  $v_p(\text{disc}(f))$  is odd must be ramified, and any prime that does not divide  $\text{disc}(f)$  must be unramified.

Another useful observation that applies when  $A = \mathbb{Z}$  is that in this case the module index  $[B:\mathcal{O}]_{\mathbb{Z}} = ([B:\mathcal{O}])$  is the principal ideal generated by the index of  $\mathcal{O}$  in  $B$  (as  $\mathbb{Z}$ -lattices), and we have

$$D_{\mathcal{O}/A} = [B:\mathcal{O}]^2 D_{B/A}.$$

**Example 12.21.** Consider  $A = \mathbb{Z}$ ,  $K = \mathbb{Q}$  with  $L = \mathbb{Q}(\alpha)$ , where  $\alpha^3 - \alpha - 1 = 0$ . We would like to determine the primes that ramify in  $L$  and describe its ring of integers  $B = \mathcal{O}_L$ . We can compute the absolute discriminant of  $\mathbb{Z}[\alpha]$  as

$$\text{disc}(1, \alpha, \alpha^2) = \text{disc}(x^3 - x - 1) = -4(-1)^3 - 27(-1)^2 = -23.$$

This immediately implies that 23 is the only prime of that ramifies. The  $\mathbb{Z}$ -ideal  $D(\mathbb{Z}[\alpha])$  is principal (because  $\mathbb{Z}$  is a PID) and therefore must be generated by the integer  $-23/m^2$ , where  $m = [\mathcal{O}_L:\mathbb{Z}[\alpha]]$ ; this implies  $m = 1$ , so  $\mathcal{O}_L = \mathbb{Z}[\alpha]$ .

More generally, we have the following theorem.

**Theorem 12.22.** *Assume AKLB and let  $\mathcal{O}$  be an order with integral closure  $B$  and conductor  $\mathfrak{c}$ . Then  $D_{\mathcal{O}/A} = N_{B/A}(\mathfrak{c})D_{B/A}$ .*

*Proof.* See Problem Set 6. □

## 12.5 Computing the discriminant and different

We conclude with a number of results that allow one to explicitly compute the discriminant and different in many cases.

**Proposition 12.23.** *Assume AKLB. If  $B = A[\alpha]$  for some  $\alpha \in L$  and  $f \in A[x]$  is the minimal polynomial of  $\alpha$ , then*

$$\mathcal{D}_{B/A} = (f'(\alpha))$$

*is the  $B$ -ideal generated by  $f'(\alpha)$ .*

*Proof.* See Problem Set 6. □

The assumption  $B = A[\alpha]$  in Proposition 12.23 does not always hold, but if we want to compute the power of  $\mathfrak{q}$  that divides  $\mathcal{D}_{B/A}$  we can complete  $L$  at  $\mathfrak{q}$  and  $K$  at  $\mathfrak{p} = \mathfrak{q} \cap A$  so that  $A$  and  $B$  become complete DVRs, in which case  $B = A[\alpha]$  does hold (by Lemma 10.15), so long as the residue field extension is separable (always true if  $K$  and  $L$  are global fields, since the residue fields are then finite, hence perfect). The following definition and proposition give an alternative approach.

**Definition 12.24.** *Assume AKLB and let  $\alpha \in B$  have minimal polynomial  $f \in A[x]$ . The different of  $\alpha$  is defined by*

$$\delta_{B/A}(\alpha) = \begin{cases} f'(\alpha) & \text{if } L = K(\alpha), \\ 0 & \text{otherwise.} \end{cases}$$

**Proposition 12.25.** *Assume AKLB. Then  $\mathcal{D}_{B/A} = (\delta_{B/A}(\alpha) : \alpha \in B)$ .*

*Proof.* See [1, Thm. III.2.5]. □

We can now more precisely characterize the ramification information given by the different ideal.

**Theorem 12.26.** *Assume AKLB and let  $\mathfrak{q}$  be a prime of  $L$  lying above  $\mathfrak{p} = \mathfrak{q} \cap A$  for which the residue field extension  $(B/\mathfrak{q})/(A/\mathfrak{p})$  is separable. Let  $s = v_{\mathfrak{q}}(\mathcal{D}_{B/A})$ , let  $e = e_{\mathfrak{q}}$  be the ramification index of  $\mathfrak{q}$  over  $\mathfrak{p}$ , and let  $p$  be the characteristic of  $A/\mathfrak{p}$ . If  $p \nmid e$  then*

$$s = e - 1$$

and if  $p \mid e$  then

$$e \leq s \leq e - 1 + ev_{\mathfrak{p}}(e)$$

*Proof.* See Problem Set 6. □

We also note the following proposition, which shows how the discriminant and different behave in a tower of extensions.

**Proposition 12.27.** *Assume AKLB and let  $M/L$  be a finite separable extension and let  $C$  be the integral closure of  $A$  in  $M$ . Then*

$$\mathcal{D}_{C/A} = \mathcal{D}_{C/B} \cdot \mathcal{D}_{B/A}$$

(where the product on the right is taken in  $C$ ), and

$$D_{C/A} = (D_{B/A})^{[M:L]} N_{B/A}(D_{C/B}).$$

*Proof.* See [2, Prop. III.8]. □

If  $M/L/K$  is a tower of finite separable extensions, we note that the primes  $\mathfrak{p}$  of  $K$  that ramify are precisely those that divide either  $D_{L/K}$  or  $N_{L/K}(D_{M/L})$ .

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