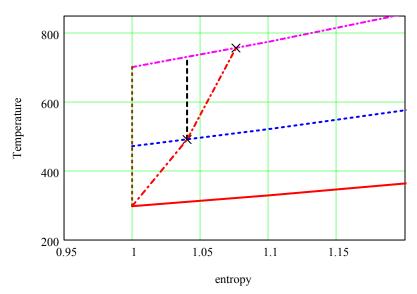
## **Polytropic Efficiency**

consider a two stage compressor with a stage efficiency = 0.9

$$p_1 := 1$$
 bar  $p_2 := 5$  bar  $p_3 := 20$  bar  $s_1 := 1 \frac{kJ}{kg \cdot K}$   $\eta_{stage} := 0.9$ 

calculations

the states resulting are plotted ...



using the gas laws and stage effiiciency =  $\eta_{stage}$ , after the first stage the states will be

$$T_2 = 491.556 \text{ K}$$
  $s_2 = 1.04 \frac{kJ}{kg \cdot K}$   $p_2 = 5 \text{ bar}$ 

after the second stage the states will be

$$\frac{kJ}{kg \cdot K} \qquad p_3 = 20 \text{ bar}$$

now if we calculate efficiency of the compressor

$$\eta_{\text{comp}} = \frac{\Delta T_{\text{s}}}{\Delta T} = \frac{T_{3\text{s}} - T_{1}}{T_{3} - T_{1}} \qquad \frac{T_{3\text{s}} - T_{1}}{T_{3} - T_{1}} = 0.88 \qquad \text{as a check } \dots \qquad \frac{T_{33\text{s}} - T_{2}}{T_{3} - T_{2}} = 0.9 \qquad \frac{T_{2\text{s}} - T_{1}}{T_{2} - T_{1}} = 0.9$$

 $T_3 = 756.994 \text{ K}$ 

 $s_3 = 1.076$ 

This effect can be accounted for by using polytropic efficiency - or small stage efficiency.

reset variables T, s, p  

$$\eta_{c} = \frac{h_{2s} - h_{1}}{h_{2} - h_{1}} = \frac{T_{2s} - T_{1}}{T_{2} - T_{1}} = \frac{\Delta T_{s}}{\Delta T}$$

compressor

as the pressure ratio approaches unity

$$\frac{\Delta T_{s}}{\Delta T} = \frac{d}{dT} T_{s} \quad \text{define } \dots \qquad \eta_{pc} = \frac{d}{dT} T_{s}$$

$$\implies \qquad T \cdot ds = dh - v \cdot dp \qquad (7.7)$$

$$ds = \frac{dh}{T} - \frac{v}{T} \cdot dp = c_{po} \cdot \frac{dT}{T} - R \cdot \frac{dp}{p} \qquad \text{ideal gas and definition of } c_{po}$$

$$\text{isentropic } ds = 0 \qquad c_{po} \cdot \frac{dT_{s}}{T} = R \cdot \frac{dp}{p}$$

$$\text{substitute} \quad dT_{s} = \eta_{pc} \cdot dT \qquad c_{po} \cdot \eta_{pc} \cdot \frac{dT}{T} = R \cdot \frac{dp}{p} \qquad \text{rearrange and} \qquad \ln\left(\frac{T_{2}}{T_{1}}\right) = \frac{R}{c_{po} \cdot \eta_{pc}} \cdot \ln\left(\frac{p_{2}}{p_{1}}\right)$$

$$\gamma = \frac{c_{po}}{c_{vo}} \qquad c_{vo} \coloneqq \frac{c_{po}}{\gamma} \qquad R \coloneqq c_{po} - c_{vo} \qquad \frac{R}{\eta_{pc} \cdot c_{po}} \qquad \text{simplify} \rightarrow \frac{\gamma - 1}{\gamma \cdot \eta_{pc}}$$

$$\text{raise to exponents} \qquad \frac{T_{2}}{T_{1}} = \left(\frac{p_{2}}{p_{1}}\right)^{\frac{\gamma - 1}{\gamma \cdot \eta_{pc}}} \qquad \text{use in definition} \qquad \eta_{c} = \frac{T_{2s} - T_{1}}{T_{2} - T_{1}} = \frac{\frac{T_{2s}}{T_{1}} - 1}{\frac{T_{2}}{T_{1}} - 1} = \frac{\frac{\gamma - 1}{r_{1}}}{\frac{\gamma - 1}{r_{1}}}$$

example polytropic efficiency = 0.9; calculate isentropic efficiency for  $p_2/p_1$  = 2, 16, 30; use air as working fluid

i := 0..2  

$$\eta_{pc} := 0.9$$
  $\gamma := 1.4$   $r := \begin{pmatrix} 2 \\ 16 \\ 30 \end{pmatrix}$   $\eta_{c_{1}} := \frac{\frac{\gamma - 1}{\gamma}}{\frac{\gamma - 1}{(r_{i})^{\frac{\gamma}{\gamma}} - 1}}$   $\eta_{c} = \begin{pmatrix} 0.89 \\ 0.856 \\ 0.845 \end{pmatrix}$   
if T1 were 25 deg C  $T_{1} := 25 + 273.15$   $(r_{i})^{\frac{\gamma - 1}{\gamma \cdot \eta_{pc}}} - 1$ 

$$\frac{T_2}{T_1} = \left(\frac{p_2}{p_1}\right)^{\overline{\gamma \cdot \eta_{pc}}} \qquad T_2 := T_1 \cdot r^{\overline{\gamma \cdot \eta_{pc}}} \qquad T_2 = \begin{pmatrix} 371.535 \\ 718.944 \\ 877.733 \end{pmatrix}$$

whereas T2 calculated using  $\eta_{pc}$  as  $\eta_{c}$ 

$$=\frac{T_{2s}-T_{1}}{T_{2}-T_{1}}$$

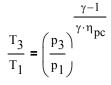
$$T_2 = \begin{pmatrix} 371.535 \\ 718.944 \\ 877.733 \end{pmatrix}$$

 $\eta_{c} = \frac{T_{2s} - T_{1}}{T_{2} - T_{1}}$   $T_{2} = T_{1} + \frac{T_{2s} - T_{1}}{\eta_{c}}$   $T_{2s} = T_{1} \cdot r^{\frac{\gamma - 1}{\gamma}}$ 

$$T_{2s} = \begin{pmatrix} 363.449 \\ 658.369 \\ 787.897 \end{pmatrix} \qquad T_{2s} = T_1 + \frac{T_{2s} - T_1}{\eta_{pc}} \qquad T_2 = \begin{pmatrix} 370.704 \\ 698.393 \\ 842.313 \end{pmatrix}$$

another observation ... if we say the two stages have a polytropic efficiency of 0.9 then using ...

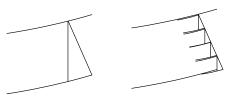
$$\frac{T_2}{T_1} = \left(\frac{p_2}{p_1}\right)^{\frac{\gamma-1}{\gamma\cdot\eta_{pc}}} \text{ and } \dots \qquad \frac{T_3}{T_2} = \left(\frac{p_3}{p_2}\right)^{\frac{\gamma-1}{\gamma\cdot\eta_{pc}}} \implies \frac{T_2}{T_1} \cdot \frac{T_3}{T_2} = \frac{T_3}{T_1} = \left(\frac{p_2}{p_1}\right)^{\frac{\gamma-1}{\gamma\cdot\eta_{pc}}} \cdot \left(\frac{p_3}{p_2}\right)^{\frac{\gamma-1}{\gamma\cdot\eta_{pc}}} = \left(\frac{p_3}{p_1}\right)^{\frac{\gamma-1}{\gamma\cdot\eta_{pc}}}$$



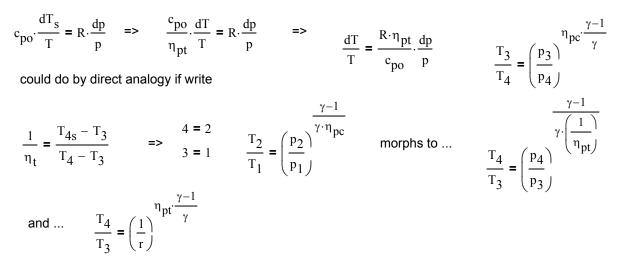
the polytropic efficiency of the compressor is identical, i.e  $T_3$  is determined from the polytropic efficiency that is the same as the two stages since polytropic efficiency approaches isentropic efficiency for pressure ratio ~ 1, this is the same as saying that for a compressor with a large number of stages each with pressure ration near 1, the polytropic efficiency of the compressosor is isentropic efficiency of the individual stages

## turbine would be similar with exception of inversion of relationship

$$\eta_t = \frac{T_3 - T_4}{T_3 - T_{4s}} \implies \qquad \eta_{pt} = \frac{dT}{dT_s} \qquad dT_s = \frac{dT}{\eta_{pt}}$$



turbine



same example polytropic efficiency = 0.9; calculate isentropic efficiency for  $p_3/p_4$  = 2, 16, 30; use air as working fluid

$$i_{w} = 0..2$$

$$\eta_{pt} := 0.9$$

$$\chi_{w} := 1.4$$

$$r_{w} := \begin{pmatrix} 2 \\ 16 \\ 30 \end{pmatrix}$$

$$\eta_{t_{i}} := \frac{1 - \left(\frac{1}{r_{i}}\right)^{\eta_{pt}} \cdot \frac{\gamma - 1}{\gamma}}{\frac{\gamma - 1}{r_{i}}}$$

$$\eta_{t} := \frac{1 - \left(\frac{1}{r_{i}}\right)^{\eta_{pt}} \cdot \frac{\gamma - 1}{\gamma}}{1 - \left(\frac{1}{r_{i}}\right)^{\eta_{pt}}}$$

$$\eta_{t} = \begin{pmatrix} 0.909 \\ 0.932 \\ 0.938 \end{pmatrix}$$
if T3 were 700 deg C
$$T_{3} := 700 + 273.15$$

$$1 - \left(\frac{1}{r_{i}}\right)^{\eta_{pt}}$$

$$\frac{T_4}{T_3} = \left(\frac{1}{r}\right)^{\eta_{\text{pt}} \cdot \frac{\gamma - 1}{\gamma}} \qquad T_4 \coloneqq T_3 \cdot \left(\frac{1}{r}\right)^{\eta_{\text{pt}} \cdot \frac{\gamma - 1}{\gamma}} \qquad T_4 = \begin{pmatrix} 814.277 \\ 477.034 \\ 405.834 \end{pmatrix} \qquad \underline{\gamma - 1}$$

whereas  $T_4$  calculated using  $\eta_{pt}$  as  $\eta_t$   $\eta_t = \frac{T_3 - T_4}{T_3 - T_{4s}} = \frac{T_4 - T_3}{T_{4s} - T_3}$   $T_4 = T_3 + \eta_{pt} (T_{4s} - T_3)$   $T_{4s} := T_3 \cdot \left(\frac{1}{r}\right)^{\frac{\gamma}{\gamma}}$   $\begin{pmatrix} 798.309 \\ 440.702 \end{pmatrix}$ above temperature is lower indicating

$$T_{4s} = \begin{pmatrix} 798.309 \\ 440.702 \\ 368.252 \end{pmatrix} \qquad T_{4s} \coloneqq T_3 + \eta_{pt} \cdot (T_{4s} - T_3) \qquad T_4 = \begin{pmatrix} 815.793 \\ 493.947 \\ 428.742 \end{pmatrix}$$

above temperature is lower indicating more energy extracted from fluid, consistent with higher efficiency

direct approach for calculating  $T_2$  modeling as discrete multiple stages. increasing number\_of\_stages should make  $\eta_{c\_1}$  approach  $\eta_c~~(\text{back to compressor for calculations})$ 

number\_of\_stages := 4   

$$r_per_stage := r^{number_of_stages}$$
 $j := 0..2$ 
 $TT_{0, j} := 25 + 273.15$ 
 $\chi_{x} := 1.4$ 
 $r = \begin{pmatrix} 2 \\ 16 \\ 30 \end{pmatrix}$ 
 $r_per_stage^{T} = (1.189 \ 2 \ 2.34)$ 
 $power := \frac{\gamma - 1}{\gamma}$ 

temperature after each stage

$$TT_{1,ns} = TT_{0,ns} + \frac{\left(r_{per_stage_j}\right)^{power} \cdot TT_{0,j} - TT_{0,j}}{\eta_{pc}} \qquad \qquad \eta_c = \frac{T_{2s} - T_1}{T_2 - T_1} \qquad T_2 = T_1 + \frac{T_{2s} - T_1}{\eta_c}$$

$$n \coloneqq 0 \dots number_of_stages \qquad T_{2s} = T_1 \cdot r^{power} \qquad T_{n,j} \coloneqq T_{n,j} + \frac{\left(r_{per_stage_j}\right)^{power} \cdot T_{n,j} - T_{n,j}}{\eta_{pc}}$$

$$\eta_{c_1_j} \coloneqq \frac{TT_{0,j}(r_j)^{power} - TT_{0,j}}{TT_{number_of\_stages, j} - TT_{0,j}}$$

number\_of\_stages = 4isentropic  
continuous model10 stages20 stages50 stages
$$\eta_{c_1} = \begin{pmatrix} 0.892 \\ 0.869 \\ 0.862 \end{pmatrix}$$
 $\eta_c = \begin{pmatrix} 0.89 \\ 0.856 \\ 0.845 \end{pmatrix}$  $\eta_{c_1} = \begin{pmatrix} 0.891 \\ 0.862 \\ 0.852 \end{pmatrix}$  $\eta_{c_1} = \begin{pmatrix} 0.89 \\ 0.859 \\ 0.849 \end{pmatrix}$  $\eta_{c_1} = \begin{pmatrix} 0.89 \\ 0.859 \\ 0.849 \end{pmatrix}$ 

further evidence that for a compressor with a large number of stages each with pressure ration near 1, the polytropic efficiency of the compressosor is isentropic efficiency of the individual stages. this follows through to determine isentropic efficiency for the compressor based on equating polytropic efficiency of small stages to the isentropic efficiency of the (small) stage.